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Nitrogen-vacancy centers in diamond: discovery of additional electronic states

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Abstract

Nitrogen-vacancy (NV) defect centers in diamond are key to applications in quantum sensing and quantum computing. They create localized electronic states in the diamond lattice with distinct population relaxation pathways following photoexcitation that ultimately enable its unique properties. The defect is known to exist in two charge states: neutral and negative, with respectively one and two known optically-active electronic transitions. Here, we report on the observation of a large number of hitherto undiscovered excited electronic states in both charge states as evidenced by distinct optical transitions in the infrared to ultraviolet part of the spectrum. These transitions are observed by monitoring the electronic relaxation of NV centers after photoexcitation using transient absorption spectroscopy, directly probing transient phenomena occurring on timescales from femtoseconds to microseconds. We also for the first time probed the electron transfer dynamics from the 3E state of NV^- to nearby single-substitutional nitrogen defects (N_s) that leads to the well-known effect of NV photoluminescence quenching.

Nitrogen-vacancy (NV) centers have seen rising popularity in advanced quantum sensing applications [1, 2]. NV centers have two charge states, neutral (NV^0) and negatively-charged (NV^-), where NV^0 features one known optical transition with a zero-phonon line (ZPL) at 2.15 eV and NV^- two transitions at 1.95 eV and at 1.19 eV, respectively (figure 1(a)). Ensembles of NV also contain single-substitutional nitrogen defects (N_s), where the relative concentration of both defects determines the equilibrium NV charge state distribution [3, 4]. When the NV concentration approaches the N_s concentration, more NV^0 appears in equilibrium, whereas only NV^- is present when $N_s \gg NV$. All relevant application scenarios are based on NV^- , whose key feature is the spin polarization cycle established by photoexcitation that involves a series of spin-state selective relaxation channels that preferentially populate the $m_s = 0$ level of 3A_2 , see figure 1(a). This relaxation sequence in turn enables optically-detected magnetic resonance (ODMR) through detection of the photoluminescence (PL) contrast. The spin polarization can be manipulated by either applying resonant microwave (MW) or large transverse magnetic fields.

Decades of research on NV centers have made them one of the best-understood solid-state defects. Yet, large gaps remain in our understanding of the electronic structure and the processes determining key features [5]. For instance, some predicted electronic states have not been observed yet, including localized NV states [6, 7] and the recently proposed bound-exciton Rydberg states of NV^0 [8]. Not only is our understanding of electronic excited states essential for furthering the performance of existing applications, such as improving the photoionization contrast in photocurrent-based NV magnetometry [9, 10]. It is also key to inspiring new scientific directions and technological applications, for instance related to the aforementioned Rydberg states of NV^0 . The influence of N_s on NV properties remains to be fully characterized: the presence of N_s is known to lower the NV spin contrast and quench PL by providing non-radiative decay channels, most likely via electron tunneling from 3E to N_s [11, 12]. A proper characterization of this process could potentially aid in mitigating effects that are detrimental to

performance. Most spectroscopic techniques employed in the field probe material properties in a (quasi-)steady state. Additional information can be obtained by driving the system out of equilibrium by photoexcitation and monitoring the relaxation dynamics, for instance using pulsed laser excitation and (transient) PL detection [13, 14]. While these methods can provide useful data on specific decay channels, they generally rely on detecting radiative relaxation processes, thus missing non-radiative channels such as the aforementioned electron tunneling [15]. Furthermore, these methods do not provide the broad spectral coverage and sufficient time resolution that would be required to observe short-lived excited state transitions that can lie anywhere from the infrared (IR) to the ultraviolet (UV) part of the spectrum.

Here, we use transient absorption (TA) spectroscopy to probe all relevant NV electronic transitions on timescales from femtoseconds to microseconds after photoexcitation, thereby establishing a complete picture of the photoexcitation dynamics of NV centers by following the population relaxation pathways [16, 17]. We use a range of bulk diamond substrates containing NV centers in the few-ppm range, with N_s concentrations ranging from few to 180 ppm. This permits measuring both NV charge states and assessing the influence of N_s concentration. We observe hitherto undiscovered excited state transitions for NVs in both charge states, which, in the case of NV^0 , are comprised of resonances with both Lorentzian and Fano lineshapes, suggesting an intricate mix of localized defect excitations and bound-to-continuum transitions.

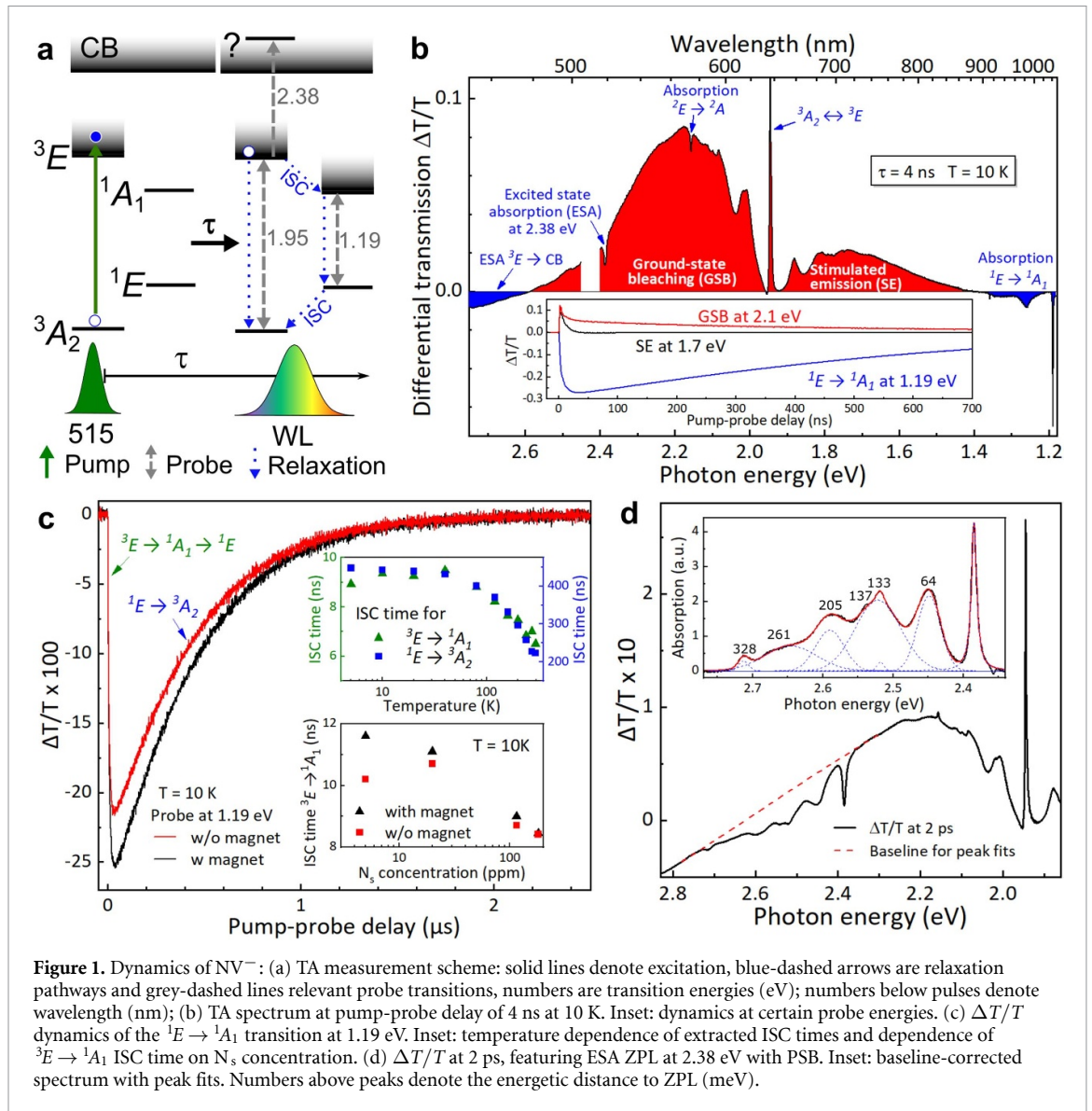
1. Results

1.1. Intrinsic dynamics of NV^-

To characterize the dynamics of NV^- centers, we choose a NV^0 -free sample (sample S5, see supplementary figure 4 and table 1) and perform TA measurements with a 150 fs pump pulse at 515 nm at sample temperature of 10 K (figure 1(a)). After relaxation within the NV phonon sideband (PSB) occurring on sub-ps timescales [18, 19], all subsequent dynamics are related to electronic relaxation processes. We measure the pump-induced change of the transmission of a broadband white-light (WL) pulse in a spectrally-resolved fashion as a function of pump-probe delay and express the measured quantity as the normalized differential transmission $\Delta T/T$ (supplementary section 1.1). A $\Delta T/T$ spectrum at pump-probe delay of 4 ns is shown in figure 1(b). One observes positive $\Delta T/T$ contributions of the triplet transition $^3A_2 \rightarrow ^3E$ from ground-state bleaching (GSB, resembling absorption) of the ZPL at 1.95 eV and its PSB, which is due to the fact that excitation reduces the ground state population in 3A_2 and thus the absorption. One can also observe stimulated emission (SE, resembling PL) to the red side of the ZPL originating from excited state population in 3E , as well as absorption ($\Delta T/T < 0$) from the singlet transition $^1E \rightarrow ^1A_1$ at 1.19 eV (with PSB). The latter absorption feature arises, since in the NV ensemble, 1A_1 is already partially populated after 4 ns. Also visible is an absorptive feature of the $^2E \rightarrow ^2A_2$ transition at 2.15 eV, indicating pump-induced population of NV^0 in its ground state 2E , broad excited state absorption (ESA) from 3E to the CB on the blue side of the spectrum, and an ESA peak at 2.38 eV.

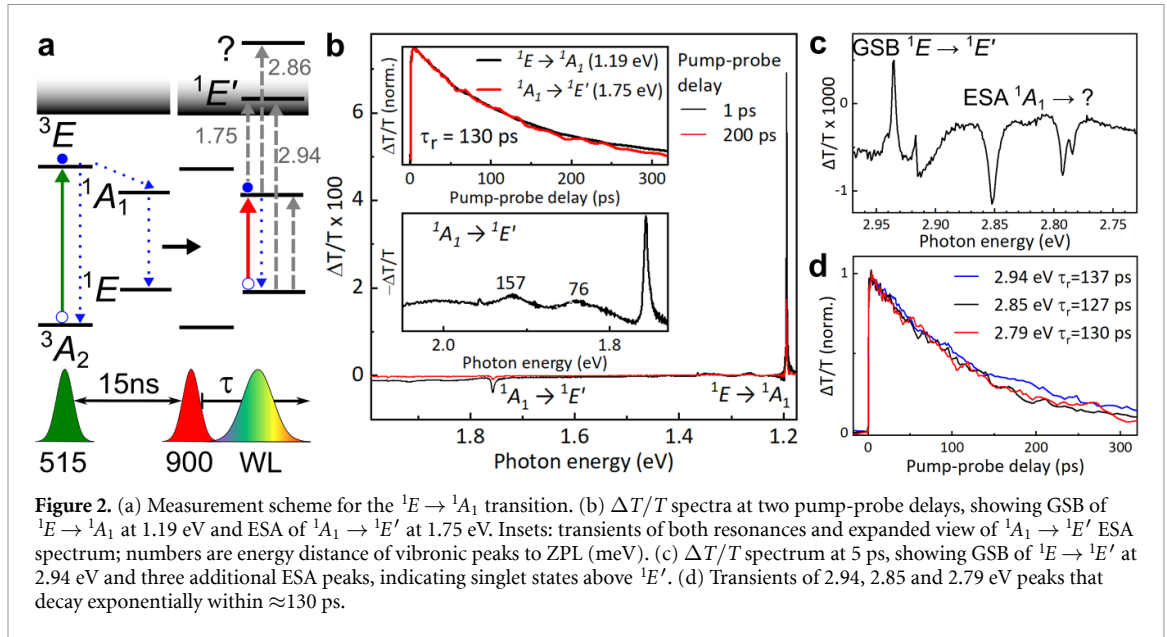
The ISC relaxation channel can be best monitored by transiently probing the singlet absorption at 1.19 eV (figure 1(c)). The rise time of ≈ 10 ns is determined by the $^3E \rightarrow ^1A_1 \rightarrow ^1E$ transition time. Since $^1A_1 \rightarrow ^1E$ is ≈ 100 ps [20], and thus much faster than $^3E \rightarrow ^1A_1$, we can assume the absorption rise to be equivalent to the $^3E \rightarrow ^1A_1$ ISC time. The subsequent decay reveals the $^1E \rightarrow ^3A_2$ dynamics (supplementary figures 8 and 9). We plot the absorption transient with and without the presence of a strong permanent magnet placed close to the sample. In the former case, the spin polarization in 3A_2 is scrambled due to the large transverse magnetic field, hence steering the relaxation towards the ISC decay channel, increasing the TA signal amplitude at 1.19 eV. This effect is akin to applying a CW microwave field resonant with the $m_s = 0 \rightarrow \pm 1$ transition at ≈ 2.9 GHz. The peak amplitude difference of both measurements is thus the spin contrast. The temperature dependence of the extracted ISC times shown in the upper inset agrees well with previous reports [13, 14, 21]. The lower inset shows the dependence of the $^3E \rightarrow ^1A_1$ ISC time on N_s concentration. One can clearly observe a decrease with increasing N_s concentration, a well-known effect that is accompanied by a reduction of the $^3E \rightarrow ^3A_2$ PL lifetime and quantum yield. This reduction is believed to be caused by electron transfer from 3E to nearby N_s acting as a non-radiative decay channel that quenches PL and reduces the PL lifetime and spin contrast [11, 22]. We will later present TA measurements that probe the dynamics of this electron transfer process.

As mentioned before, we also observe an ESA resonance at 2.38 eV, see TA spectrum at 2 ps time delay shown in figure 1(d). The inset shows a baseline-corrected enlarged version, where one can observe a series of broader peaks to the red side of the ZPL. The inset also shows fits to the peaks using appropriate models and the numbers indicate their energetic distance to the ZPL in meV (supplementary section 2.1.1). The broader linewidth of these peaks and their energetic distance to the ZPL suggest them being vibronic modes of the 2.38 eV transition that produce a feature-rich PSB. The ESA feature decays with the same dynamics as the relevant $^3A_2 \leftrightarrow ^3E$ transitions, confirming it being an excited state of NV^- . We do not observe any signs



of this electronic state in the spin-singlet excitation experiments featured below, which strongly suggests it being a spin-triplet. Indications of this electronic state have been reported before in photoionization experiments [23]. This state, which ought to be resonant with the CB, has not been predicted by theory and its exact nature is thus unknown.

To study the singlet transition ${}^1E \leftrightarrow {}^1A_1$, we first excite the triplet transition with 515 nm, wait 15 ns, after which all 3E population has either relaxed to 3A_2 or 1E , and then perform a pump-probe scan with 900 nm excitation (figure 2(a)). We observe the expected GSB of ${}^1E \rightarrow {}^1A_1$ at 1.19 eV and an ESA peak at 1.75 eV (figure 2(b)). As shown in the lower inset, this peak features a small PSB with two vibronic peaks. We attribute this ESA feature to the transition from 1A_1 to the so far only theoretically predicted third singlet state ${}^1E'$ [6, 9]. Both resonances decay exponentially with a constant of 130 ps, which implies that a) the lifetime of the 1A_1 state is 130 ps, in line with previous results [20], and b) that the newly observed ESA is indeed coming from an excited state accessed by 1A_1 . As shown in figure 2(c), we observed transitions to even higher singlet states when probing towards the UV, as evidenced by ESA peaks at 2.78, 2.79 eV and 2.85 eV. The positive peak at 2.94 eV corresponds to the GSB of the ${}^1E \rightarrow {}^1E'$ transition ($= 1.19 + 1.75$ eV). Interestingly, this transition features a smaller adjacent peak, which is absent in the ${}^1E \rightarrow {}^1A_1$ and ${}^1A_1 \rightarrow {}^1E'$ transitions (supplementary figure 25). A reproducible sharp spectral feature of unknown origin is also present at 2.92 eV. Both resonances decay with the 130 ps population lifetime of 1A_1 , consistent with our assignment of the peaks (figure 2(d)). The temperature dependencies of center photon energy and linewidth for all ZPLs can be found in supplementary figure 17.



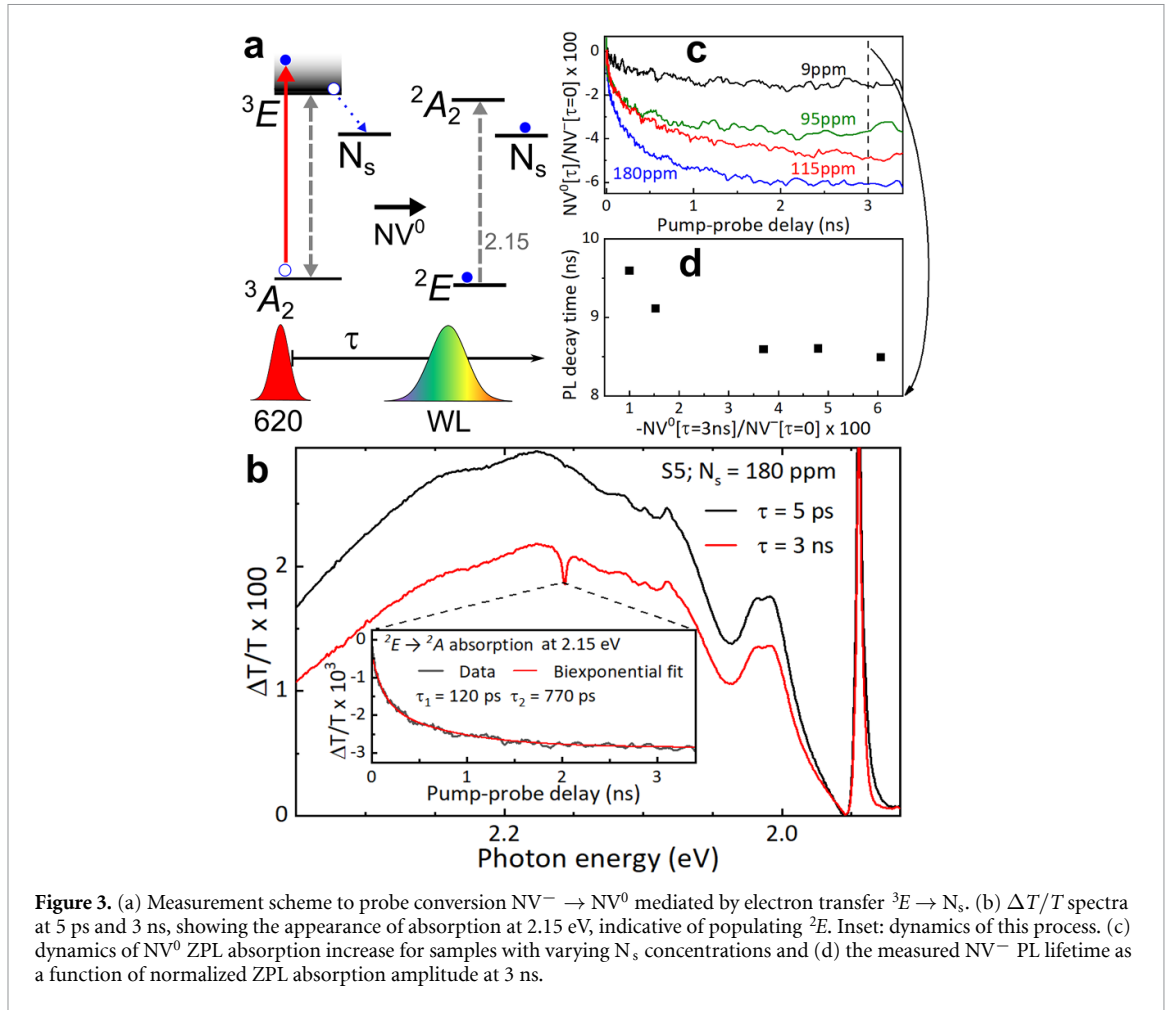
1.2. Electron transfer $NV^- \rightarrow NV^0$

For the next experiments, we pump at 620 nm to exclusively excite NV^- (figure 3(a)), allowing to study NV^- in samples with lower N_s concentration that show NV^0 in equilibrium. We observe a rising appearance of absorption at 2.15 eV, see figure 3(b), indicating a bi-exponentially increasing population of the 2E level of NV^0 (see inset). The absorption value at late pump-probe delays (e.g. 3 ns) scales linearly with pump fluence, which suggests that the process responsible for this absorption increase originates from 3E (supplementary figure 19). The absorption value also scales with N_s concentration, i.e. is larger in samples containing higher N_s concentrations, see figure 3(c), where we plot the dynamics measured in samples with N_s concentrations varying from 9 to 180 ppm. To properly compare these plots in terms of magnitude, we divided the absorption signal of NV^0 at 2.15 eV by the ZPL amplitude of the NV^- triplet transition at $\tau = 0$, thereby normalizing for varying signal strengths across different samples. Our results combined with the linear pump fluence dependence strongly suggest that the observed dynamics originate from electron tunneling from 3E to nearby N_s , which switches the charge state and creates NV^0 in its ground state 2E . We believe this electron transfer process is responsible for the commonly observed PL quenching and faster PL decay times in samples with high N_s concentrations [11, 12]. This hypothesis is corroborated by figure 3(d), where we plot the measured NV^- PL lifetime (${}^3E \rightarrow {}^3A_2$) of our samples against the NV^0 absorption values at $\tau = 3$ ns, where one can observe a decreasing PL decay time for larger final NV^0 populations. The work by Manson *et al* [12] suggests that in the quasi-equilibrium established through photoexcitation, nearby $NV-N_s$ pairs are in the negative and positive charge state, respectively. That would imply that electron transfer would occur from NV^- to N^+ , temporally creating NV^0 and N^0 , before back conversion occurs to NV^- and N^+ . We also measured the dynamics of this back-conversion process $NV^0 \rightarrow NV^-$ that proceeds on μs timescales (supplementary figure 22).

1.3. Intrinsic dynamics of NV^0

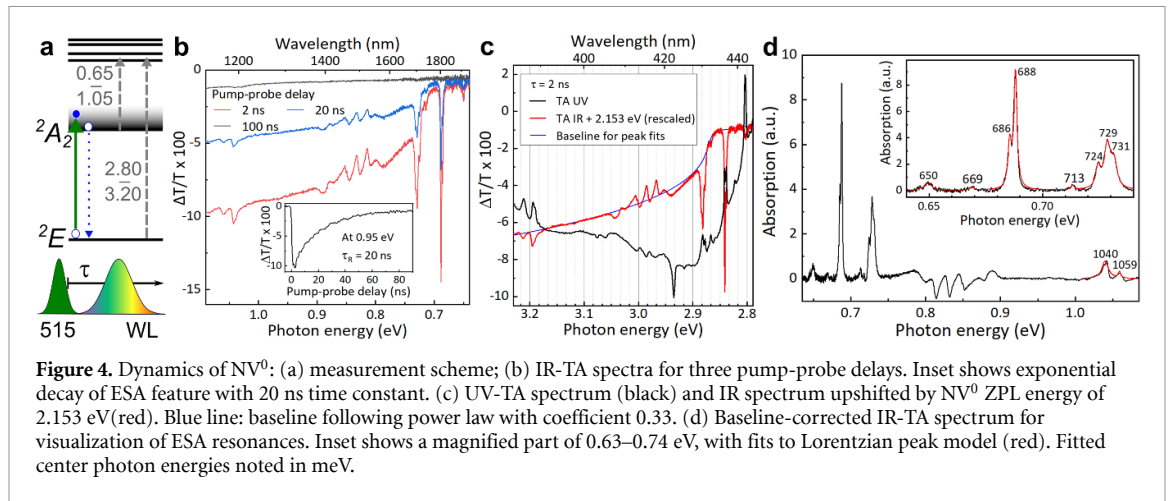
To study NV^0 , we pump at 515 nm (figure 4(a)) on samples exhibiting a large fraction of NV^0 in equilibrium (samples S1 and S6, see supplementary figure 4 and table 1). Unlike NV^- , which we can exclusively excite with light between 580 nm and 640 nm, this is not possible with NV^0 , and any pump event will also excite some fraction of NV^- . We verified that the measurements are related to NV^0 by comparing them to samples only containing NV^- and measuring their decay time: the excited state lifetime of NV^- is ≈ 10 ns, whereas it is 20 ns for NV^0 (supplementary figure 23). Figure 4(b) shows IR-TA spectra for three pump-probe delays up to 100 ns, where one can observe a broad ESA feature from 0.7 eV probe energy that increases towards the blue. A multitude of ESA resonances between 0.65 eV and 1.05 eV is also visible. As shown in the inset of figure 4(b), all features decay exponentially with a time constant of 20 ns, which matches the lifetime of 2A_2 , and none of these features are present in NV^- -only samples (supplementary figure 23).

We observe the same resonances as GSB features in the UV, upshifted by 2.153 eV, which exactly matches the NV^0 ZPL energy. This is shown in figure 4(c), where we plot the UV TA data together with the ZPL-upshifted IR data. It is clear that the negative IR ESA peaks correspond in peak photon energy to the positive GSB peaks in the UV, albeit with different relative peak amplitudes. A pronounced ESA peak is



visible at 2.94 eV that does not have an IR companion. It is the ${}^1E \rightarrow {}^1E'$ transition of NV^- (figure 2(c)) that is activated by populating 1E through two-photon ionization of NV^0 by the pump pulse, leading to charge conversion $NV^0 \rightarrow NV^-$ of some excited NV centers. We verified this through a pump power dependence that shows a second order relation for this peak but a linear dependence for all other peaks (supplementary figure 24). One can also recognize some of the UV TA peaks in steady-state absorption spectra, albeit with small amplitudes that make them easy to miss or misinterpret them as ZPLs from other spurious defects (supplementary figure 26). In this respect, the appearance of GSB peaks in the UV following NV^0 excitation at 515 nm unambiguously connects the according excited states to NV^0 since it proves them to share a common ground state, i.e. 2E (see figure 4(a)). Note that PL excitation (PLE) spectra should in principle give similar results to our UV TA spectra, where one measures the NV^0 PL magnitude as a function of excitation photon energy, sweeping across the UV resonances. We are however not aware of any publication using this approach on NV^0 .

We tentatively interpret the broad ESA in the IR to photoionization from 2A_2 into the valence band (VB) and its onset around 0.7 eV as the photoionization threshold. Such an excitation into the continuum should in the simplest case follow a power law, i.e. the absorption be proportional to the photon energy $E \propto a(E - E_0)^q$, where a is a scaling factor, E_0 the photoionization threshold and q the exponent. In the textbook case, q should be 0.5 for a 3D semiconductor, however we find that $q = 0.33$ describes our data best, see blue plot in figure 4(c) (supplementary figure 27). The extracted ionization threshold E_0 at 0.717 eV is consistent with previous experimental and theoretical investigations [24]. We subtract the power-law-modeled baseline in a slightly modified version from our data as a basis for peak fits and plot the results in figure 4(d). We obtain eight Lorentzian resonances between 0.65 and 0.73 eV (see inset of 4(d)) and two resonances around 1.05 eV. The fitting parameters for these peaks and their UV counterparts can be found in supplementary table 3. Between 0.8 and 0.9 eV, dispersive resonances resembling Fano lineshapes are visible. These indicate transitions coupled to a continuum that would support our assignment of the broad ESA to excitation into the VB. That is somewhat in variance with the other resonances (also NV^-) which in terms of energy should be resonant with the VB (NV^0) or the CB (NV^-), however do not have Fano



lineshapes. Interestingly, in the case of the 2.38 eV transition (figure 1(d)), which appears as a Lorentzian peak with PSB and thus like a proper localized transition, previous experiments have shown this resonance leading to increased photoionization, i.e. it couples to the CB, which one naively would expect to show a more Fano-like lineshape as a consequence. Similar observations have been made in the neutral carbon vacancy in diamond (GR1), where a series of resonances (GR2-8) reminiscent of localized electronic transitions are also resonant with the VB, leading to hole current upon photoexcitation [25], a phenomenon that is not understood [26, 27].

As to the nature of the NV^0 excited states, there are two possibilities: additional NV localized states, similar to the ones we discovered for NV^- and/or Rydberg states created by the bound exciton $NV^- + \text{hole}$ just below the ionization threshold of NV^0 . Regarding the former, a few more spin-doublet states have been predicted by theory, which could thus explain some of the transitions, albeit not all [7, 28]. Concerning the Rydberg states, a very recent theoretical effort calculated the electronic structure of the $NV^- + \text{hole}$ bound exciton [8]. Interestingly, their results for the highest transitions, predicted to fall in the range between 430 and 445 nm, match our results very well. However, the lowest transitions 1s and 2p (between 450 and 475 nm), which should be the strongest, do not appear in our data.

In conclusion, by employing TA spectroscopy we monitored the relaxation dynamics of NV^- and NV^0 centers after photoexcitation by transiently probing all relevant optical transitions from 400 to 2000 nm on timescales from fs to μs . We measured the electron tunneling dynamics from 3E of NV^- to nearby N_s that leads to the well-known effect of PL quenching, for the first time probing the underlying dynamics. We showed that we can directly probe the singlet relaxation pathway of NV^- and extract all relevant kinetic rates. This approach will be applicable to other defects where ISC-driven relaxation pathways are known to exist, yet have not been observed optically [29]. For both NV charge states we discover a large number of hitherto unknown electronic states. In the case of NV^- , we unambiguously proved the existence of NV electronic states that are resonant with the CB. Transitions to these states can potentially be exploited to enhance ionization rates and thus the spin contrast in magnetometry schemes where the photocurrent is detected instead of PL [9, 10]. For NV^0 , our results show an intricate mixture of localized and bound-to-continuum transitions as evidenced by Lorentzian and Fano resonances, respectively. Some of these transitions could be the first experimental verification of the only very recently predicted Rydberg states from the bound exciton $NV^- + \text{hole}$ [8]. The unique capability of TA spectroscopy to probe these excited state transitions both from the NV^0 ground state 2E and first excited state 2A_2 revealed that the absorption cross sections vary greatly between both scenarios, providing clues for the nature of the new excited states. More work is required to shed light on these issues. We are preparing spectrally-resolved photoionization experiments that will be particularly insightful regarding the rich excited state manifold of NV^0 : is the broad ESA due to continuum excitation and are the sharp resonances exciton Rydberg states and/or localized electronic states of NV^0 ? *Ab initio* simulations are also under way to support our experimental findings.

2. Methods

The experimental TA setups use an ultrafast fiber-based Ytterbium laser emitting 150 fs long pulses with a center wavelength of 1030 nm laser (Amplitude Tangerine SP) at a tunable repetition rate of up to 40 MHz. Experiments were performed at various repetition rates, but were usually kept below 10 kHz. The output of the laser is separated into three different paths: the first path ('OPA path') is fed into a home-built optical

parametric amplifier (OPA), which generates tunable output at 650–2500 nm. The second path ('NOPA path') is directed into a noncollinear OPA (AG-Riedle NOPA Rainbow), which is generating pulses between 620 nm and 950 nm. Finally, the third path ('second harmonic generation (SHG) path') goes directly through a frequency-doubling BBO crystal, generating pulses at 515 nm. All samples are mounted inside a closed-cycle Helium cryostat (Advanced Research Systems ARS-10HW) for cryogenic measurements. Two TA setups are used: for fs–ns timescales and ns–ms timescales.

For the fs–ns TA setup, the SHG and/or NOPA paths are utilized as the pump pulses, while the OPA path is used as a source for white light (WL) generation to create a broadband white-light (WL) probe by focusing into a c-cut sapphire window. Before entering the TA setup, the pump pulse transmission is mechanically modulated by an optical chopper, whose phase is synchronized with the laser output. Pump and probe beams are focused onto the sample, ensuring proper spatial overlap. The probe beam, after interacting with the sample, is recollimated and then imaged on to a line camera (Teledyne e2V Octoplus for VIS-NIR/UV and Xenics Manx R 2048 for IR).

For the ns–ms TA setup, the SHG or NOPA paths are employed as the pump and instead of a second pulsed probe, a continuous wave (CW) incoherent broadband WL source (XWS-30, ISTEQ BV) is used as the probe. The transmitted broadband WL through the sample is passed through a monochromator and measured with a fast photodiode (PD). The output signal of the PD is amplified and recorded with a digitizer that is synchronized with the pump pulse, resulting in time-resolved pump-induced differential transmission. The measurement duration can be extended up to milliseconds or seconds employing this approach with a time resolution of 1 ns, which is defined by the rise and fall time of the electronic instruments including the PD, amplifier, and digitizer. More information on the experimental methods can be found in supplementary section 1.1.

Data availability statement

The data cannot be made publicly available upon publication because they are not available in a format that is sufficiently accessible or reusable by other researchers. The data that support the findings of this study are available upon reasonable request from the authors.

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Author contributions

M-T L and A T Y contributed equally to this work. R U conceived and supervised the project. M-T L and A T Y performed the measurements. M-T L, A T Y and R U analyzed the data. R U wrote the manuscript with input from all authors.

Conflict of interest

The authors declare no competing interests.

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