



# *Review* **The Hydrophobic Effects: Our Current Understanding**

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**Abstract:** Hydrophobic interactions are involved in and believed to be the fundamental driving force of many chemical and biological phenomena in aqueous environments. This review focuses on our current understanding on hydrophobic effects. As a solute is embedded into water, the interface appears between solute and water, which mainly affects the structure of interfacial water (the topmost water layer at the solute/water interface). From our recent structural studies on water and air-water interface, hydration free energy is derived and utilized to investigate the origin of hydrophobic interactions. It is found that hydration free energy depends on the size of solute. With increasing the solute size, it is reasonably divided into initial and hydrophobic solvation processes, and various dissolved behaviors of the solutes are expected in different solvation processes, such as dispersed and accumulated distributions in solutions. Regarding the origin of hydrophobic effects, it is ascribed to the structural competition between the hydrogen bondings of interfacial and bulk water. This can be applied to understand the characteristics of hydrophobic interactions, such as the dependence of hydrophobic interactions on solute size (or concentrations), the directional natures of hydrophobic interactions, and temperature effects on hydrophobic interactions.

**Keywords:** water; solute; interface; hydrogen bonding; hydrophobic effects



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# **1. Introduction**

Hydrophobic effects refer to the tendency of nonpolar molecules (or parts of molecules) to be aggregated in water. They are involved in and believed to be the fundamental driving force in many chemical and biological phenomena in aqueous solutions, such as molecular recognition, protein folding, formation and stability of micelles, biological membranes and macromolecular complexes, surfactant aggregation, coagulation, complexation, detergency, and the formation of gas clathrates [\[1](#page-21-0)[–5\]](#page-21-1). To date, numerous experimental and theoretical works have been carried out to understand the physical origin of hydrophobic effects.

In general, hydrophobicity is expressed through the empirically calculated logarithm of partition coefficient (logP), which is widely used in drug design and medicinal chemistry [\[6,](#page-21-2)[7\]](#page-21-3). Historically, the concept of hydrophobicity arose in the context of the low solubility of non-polar solutes in water. Experimentally, it has been found that the entropy change upon transferring a hydrocarbon from a nonpolar environment into water is large and negative. In 1945, Frank and Evans [\[8\]](#page-21-4) suggested that the observed loss of entropy was related to the structural changes of liquid water as hydrophobic solutes were dissolved into water. They proposed that a kind of "cage", consisting of water molecules, was formed around the solute. This ordered water structure, similar to the gas clathrate, was known as the "iceberg" model [\[8\]](#page-21-4). Since then, many works  $[9-17]$  $[9-17]$  are conducted to measure the water structural changes around hydrophobic surfaces. In accordance with the "iceberg" model, some studies [\[9](#page-21-5)[–12\]](#page-22-1) support the existence of increased tetrahedral order around small hydrophobic groups in aqueous solutions. However, the decrease of water structure around hydrophobic groups is also found in many works [\[13](#page-22-2)[–17\]](#page-22-0). It is well known that the neutron scattering may be sensitive to the structure of water. From the neutron scattering experimental measurements on aqueous solutions containing tetramethylammonium chloride [\[13\]](#page-22-2) and methane molecules [\[15\]](#page-22-3), these do not suggest that water around these

hydrophobic solutes may be more ordered than bulk water. To date, there remain strong debates on the "iceberg" structural model.

ture of water. From the neutron scattering experimental measurements on a  $\mathcal{L}_{\mathcal{A}}$ 

In 1959, based on the "iceberg" model, Kauzmann [18] introduced the concept of In 1959, based on the "iceberg" model, Kauzmann [\[18\]](#page-22-4) introduced the concept of hydrophobic interactions. When two "caged" hydrophobes come together, the "structured" water between solutes may be released into the bul[k \(](#page-1-0)Figure 1), which undoubtedly leads to the increase of entropy. Subsequently, the attractive force between these particles may be related to the entropy increase. Therefore, hydrophobic interactions are classically regarded to be entropy driven. However, the "classic" hydrophobic effects may be in contrast with some works. In Died[eric](#page-22-5)h et al. [19] work, they found that complexation of benzene in a cyclophane host molecule was enthalpy driven at room temperature, which was also accompanied with a slightly negative entropy change. Additionally, in Baron, Setny, and McCammon works [\[20](#page-22-6)[,21\]](#page-22-7), molecular dynamics (MD) simulations are used to investigate the binding in a hydrophobic receptor-ligand system. It is found that the association between the non-polar ligand and binding pocket may be driven by enthalpy and opposed by entropy. The "non-classic" hydrophobic effects are ascribed to the release of weakly hydrogen-bonded water molecules into the more strongly hydrogen-bonded bulk water [\[22\]](#page-22-8).

<span id="page-1-0"></span>

Released structured water

**Figure 1.** The "iceberg" structural model of hydrophobic effects. The ordered water structure is **Figure 1.** The "iceberg" structural model of hydrophobic effects. The ordered water structure is expected to form around the solute. As two "caged" solutes become together, the "structured" water in the region between them may be returned to the bulk.

In the "iceberg" structural model, water molecules can rearrange around a small In the "iceberg" structural model, water molecules can rearrange around a small hydrophobic solute, without losing their hydrogen bondings. However, as a large solute hydrophobic solute, without losing their hydrogen bondings. However, as a large solute is embedded into water, hydrogen bondings of water may be broken at the surface of is embedded into water, hydrogen bondings of water may be broken at the surface of the solute, which may result in an enthalpic penalty. Therefore, hydrophobic interactions depend on the size of dissolved solute. In recent years, a theoretical approach was de-veloped by Lum, Chandler, and Weeks (LCW) [23-[26\]](#page-22-10) to understand the dependence of hydrophobic interactions on solute size. Both the Gaussian density fluctuations related to small size and the physics of interfacial formation related to large size are incorporated in LC[W th](#page-22-9)[eor](#page-22-10)y [23-26]. It can be found that the hydration free energy grows linearly with the solvated volume for small solute, but grows linearly with the solvated surface area for large solute [\[25\]](#page-22-11). Therefore, the crossover may be expected between small and large regime, which takes place on the nanometer length scale [\[25\]](#page-22-11).

Liquid water is generally believed to play a vital role in the process of hydrophobic interactions. In cell biology, water may be regarded as an active constituent, rather than a bystander [\[27](#page-22-12)[–29\]](#page-22-13). According to our recent structural works [\[30–](#page-22-14)[38\]](#page-22-15) on liquid water and air-water interface, hydration free energy is determined. This is utilized to understand the nature of hydrophobic interactions. It is found that hydrophobic interactions may be related to the size of dissolved solute. With increasing the solute size, it is reasonably divided into initial and hydrophobic solvation processes [\[34\]](#page-22-16). Additionally, different dissolved behaviors of solutes are expected in initial and hydrophobic solvation processes, such as dispersed and accumulated distributions in aqueous environments. In addition, hydrophobic interactions may be ascribed to the structural competition between interfacial and bulk water [\[34\]](#page-22-16).

Hydrophobic interactions have long been recognized to be the fundamental driving force in physical chemistry and biochemistry. To understand the origin of hydrophobic interactions, numerous works have been carried out. This review is focused on our current understanding on hydrophobic interactions. Water plays a vital role while solutes are aggregated in solutions. Therefore, it is necessary to study the structure of water, which is included in Section [2.](#page-2-0) In Section [3,](#page-8-0) according to the structural works on water and air-water interface, hydration free energy may be determined, and used to investigate the nature of hydrophobic interactions. From this, it is applied to understand the characteristics of hydrophobic interactions, which may be included in Section [4.](#page-14-0) This work is devoted to studying the dependence of hydrophobic interactions on solute size (or concentrations), the directional natures of hydrophobic interactions, and the temperature effects on hydrophobic interactions.

## <span id="page-2-0"></span>**2. Water Structure**

Gibbs free energy (∆G), related to the changes of enthalpy (∆H) and entropy (∆S), may be used to study whether a process is likely to take place. Thermodynamically, it is expressed as,

$$
\Delta G = \Delta H - T \cdot \Delta S \tag{1}
$$

where ∆H is enthalpy changes, and ∆S is entropy changes. In general, ∆H quantifies the average potential energy between molecules, ∆S measures the order (or intermolecular) correlations of system.

In fact, various interactions between solutes and water may be expected when solutes are embedded into water. The total Gibbs free energy of system is reasonably described as,

$$
\Delta G = \Delta G_{Water-water} + \Delta G_{Solute-water} + \Delta G_{Solute-solute}
$$
 (2)

in which  $\Delta G_{Water-water}$ ,  $\Delta G_{Solute-water}$ , and  $\Delta G_{Solute-solute}$ , respectively, mean the Gibbs energies are due to water-water, solute-water, and solute-solute interactions. In fact, the solutes are necessarily attracted to approach each other in aqueous solutions before they may be affected by the interactions between them. This is due to the hydrophobic interactions, which accumulate the solutes in the solutions. Therefore, to understand the molecular mechanism of hydrophobic effects, it is important to study the water structure and the effects of solutes on water structure.

Numerous experimental and theoretical works have been carried out to investigate the structure of water. To date, different structural models have been proposed, which are generally partitioned into the mixture and continuum structural models [\[39,](#page-22-17)[40\]](#page-22-18). In the mixture model, two distinct types of structures are regarded to simultaneously exist in ambient water. It is likely that the first mixture model was proposed by W.C. Röntgen in 1892, who suggested that liquid water was a mixture of two components, a low-density fluid and a high-density fluid [\[41\]](#page-22-19). Since then, various mixture structural models have been proposed [\[42–](#page-22-20)[44\]](#page-22-21). For the continuum structural model, water is comprised of a random, three-dimensional hydrogen-bonded network, which may be characterized by a broad distribution of O-H···O hydrogen bond distances and angles. However, the water networks cannot be "broken" (or separated into distinct molecular species) as in the mixture model. To date, liquid water is usually regarded as a tetrahedral fluid, which is based

on the first coordination number,  $N_c = 4\pi \rho \int_{r_{min}}^{r_{max}} r^2 g_{OO}(r) dr$ , where  $\rho$  means the density of water, r<sub>min</sub> and r<sub>max</sub> are the lower and upper limits of integration in oxygen-oxygen radial distribution function,  $g_{OO}(r)$ . For ambient water, Nc is determined to be 4.3 [\[45\]](#page-22-22) and 4.7 [\[46\]](#page-22-23), respectively.

Liquid water is usually regarded as an anomalous fluid, which is due to the formation of hydrogen bondings between neighboring water molecules. To understand the physical nature of hydrogen bondings, various theoretical methods have been developed, such as symmetry-adapted perturbation theory (SAPT) [\[47,](#page-23-0)[48\]](#page-23-1). From the theoretical cal-culations [\[49\]](#page-23-2) on a water dimer  $((H<sub>2</sub>O)<sub>2</sub>)$ , besides van der Waals interactions between water molecules, obvious electrostatic interactions can also be found between them. Of course, this is reasonably attributed to the formation of hydrogen bondings between water molecules. Therefore, hydrogen bondings may be ascribed to the electrostatic interactions between the neighboring water molecules.

For an H<sub>2</sub>O molecule, the vibrational normal modes may be  $2A_1+B_1$  [\[50\]](#page-23-3), which are all Raman active. When hydrogen bonding is formed between neighboring water molecules, this decreases the O···O distance between them, and weakens the O-H covalent bond [\[51\]](#page-23-4). The formation of hydrogen bonding may result in OH vibrational frequencies moving towards a low wavenumber (red shift). Therefore, OH vibrations may be sensitive to hydrogen bondings of liquid water, and widely utilized to investigate the structure of water.

With decreasing temperature from 298 K to 248 K at 0.1 MPa, based on the normalized intensity, an isosbestic point is found around 3330 cm<sup>-1</sup> in the Raman OH stretching bands of water (Figure [2\)](#page-3-0). The isosbestic point is the wavelength where a series of spectra cross, and the spectral intensity may keep constant. In mixture structural model, the isosbestic point is generally used to support the two-state behavior of water structure. However, after considering the electric field experienced by the proton projected onto the OH covalent bond, Smith et al. [\[52\]](#page-23-5) suggested that the isosbestic point was explained through a continuous distribution of local hydrogen-bonded networks, which was due to the increasing distortions around a single-component tetrahedral structural motif. It is noted that, along with the isosbestic point, temperature increase (or adding NaCl) may also lead to the decrease of the second peak at 4.5 Å in  $g_{OO}(r)$ , which undoubtedly means the breakage of tetrahedral hydrogen bondings of water. Therefore, it can be derived that the isosbestic point indicates the structural transition between tetrahedral and non-tetrahedral hydrogen-bonded networks.

<span id="page-3-0"></span>

**Figure 2.** The Raman OH stretching bands of water from 298 to 248 K under 0.1 MPa. Based on **Figure 2.** The Raman OH stretching bands of water from 298 to 248 K under 0.1 MPa. Based on normalized intensity, an isosbestic point is found around 3330 cm $^{\rm -1}.$ 

Many works have been conducted to explain the Raman OH stretching band of water. In fact, water molecular clusters,  $(H_2O)<sub>n</sub>$ , provide an approach to investigate the dependence of OH vibrational frequencies on hydrogen bondings between water molecules. From the theoretical calculations, the stable configurations of water molecular clusters can be determined. In combination with the experimental measurements on OH vibration frequencies of clusters, these may be utilized to unravel the relationship between OH vibrational frequencies and hydrogen-bonded networks. It is found that, when threedimensional hydrogen-bonded networks occur in water molecular clusters (*n* ≥ 6), different OH vibrational frequencies may correspond to various hydrogen bondings in the first shell of a water molecule (local hydrogen bondings), and the effects of hydrogen bondings beyond the first shell on OH vibrational frequencies may be weak (Figure 3). From this, as three-dimensional hydrogen bondings appear, different OH vibrations may be ascribed to OH vibrations engaged into various local hydrogen bondings [\[30](#page-22-14)[,32\]](#page-22-24). ings [30,32].

<span id="page-4-0"></span>

Figure 3. The dependence of the OH stretching frequency on hydrogen bondings of water molecular clusters,  $(H_2O)_n$ . Different symbols are used to discriminate OH vibrations engaged in various local local hydrogen-bonded networks of a water molecule. Various structures of hexamers are also hydrogen-bonded networks of a water molecule. Various structures of hexamers are also shown.<br>.

For a water molecule, the local hydrogen-bonded network can be differentiated by whether the molecule forms hydrogen bonds as a proton donor (D), proton acceptor (A), or a combination of both with neighboring molecules. Under ambient conditions, the main local hydrogen bonding motifs for a water molecule can be classified as DDAA (double donor-double acceptor), DDA (double donor-single acceptor), DAA (single donordouble acceptor), and DA (single donor-single acceptor) (Figure [4\)](#page-5-0). For ambient water, the Raman OH stretching band may be fitted into five sub-bands, which can be assigned to the ν<sub>DAA-OH</sub>, ν<sub>DDAA-OH</sub>, ν<sub>DA-OH</sub>, ν<sub>DDA-OH</sub>, and free OH symmetric stretching vibrations, respectively (Figure [4\)](#page-5-0). Therefore, at ambient conditions, different local hydrogen bondings may be expected around a water molecule.

From the Raman spectroscopic studies [\[30](#page-22-14)[–32\]](#page-22-24) on ambient water, the local statistical model (LSM) is proposed. This suggests that a water molecule interacts with the neighboring water molecules (in the first shell) through different local hydrogen bondings. Of course, it is different from continuum structural models of ambient water. Additionally, according to the mixture structural model, water has been considered as a mixture of two distinct types of structures. Therefore, different spatial distributions may be necessary for various structural types, and sharp boundary is expected between them. In mixture model, water structure may be heterogeneous. Additionally, according to recent X-ray experimental studies [\[53,](#page-23-6)[54\]](#page-23-7), these mean that liquid water is heterogeneous at ambient conditions, and this becomes enhanced in the supercooled region. However, based on LSM of water structure, various local hydrogen bondings are expected for a water molecule at ambient conditions. This indicates that it is impossible to find a sharp phase boundary between various structural motifs, and the structure of ambient water may be homogeneous. Of course, it is different from the mixture structural model. In addition, based on LSM model, the local hydrogen-bonded networks of a water molecule may be affected by pressure, temperature, dissolved salt, and a confined environment.

For a water molecule, the local hydrogen-bonded network can be differentiated by

<span id="page-5-0"></span>

**Figure 4.** The Raman OH stretching band of ambient water may be deconvoluted into five sub-**Figure 4.** The Raman OH stretching band of ambient water may be deconvoluted into five sub-bands, located at 3041, 3220, 3430, 3572, and 3636 cm<sup>-1</sup>, and assigned to the ν<sub>DAA-OH</sub>, ν<sub>DDAA-OH</sub>, ν<sub>DA-OH</sub>, OH, νDDA-OH, and free OH symmetric stretching vibrations, respectively. At ambient conditions, the νDDA-OH, and free OH symmetric stretching vibrations, respectively. At ambient conditions, the main main local hydrogen-bonded networks for a water molecule are expected to be DDA and DDA are expected to be defined to be def local hydrogen-bonded networks for a water molecule are expected to be DDAA, DDA, DAA, and DA hydrogen bondings. Hydrogen bondings are drawn with dashed lines.

According to the explanation on Raman OH stretching band of water [\[30](#page-22-14)[–32\]](#page-22-24), ν<sub>DDAA-OH</sub> model any of the originalism criticial correlating cand or mater por expressions.<br>is due to OH vibration engaged in DDAA (tetrahedral or "unbroken") hydrogen bonding, boring water water in the first shell of the first shell in the first shell of the first shell of the van't Hoff equation, this and ν<sub>Free-OH</sub> is free OH symmetric stretching vibration. From the van't Hoff equation, this course, it is different from continuum structural models of  $\alpha$  and  $\alpha$  and may be applied to calculate the thermodynamic functions of tetrahedral (DDAA) hydrogen<br>bonding (Figure 5) bonding (Figure [5\)](#page-6-0),

$$
\ln\left(\frac{I_{\text{Free-OH}}}{I_{\text{DDAA-OH}}}\right) = -\frac{\Delta H}{RT} + \frac{\Delta S}{R}
$$
(3)

 $t_{\text{total}}$  be heterogeneous.  $\alpha$  behefter, and the heterogeneous. Additionally, according to recent X- $\alpha$ From the Raman OH stretching bands from 298 K to 248 K, the enthalpy ( $\Delta H$ ) and entropy (∆S) from tetrahedral hydrogen bonding to free water are calculated to be<br>and entropy (△S) from tetrahedral hydrogen bonding to free water are calculated to be  $\frac{13.55 \text{ kJ}}{100 \text{ kJ}}$  and  $\frac{25.00 \text{ J}}{100 \text{ kJ}}$  respectively. 11.35 kJ/mol and 29.66 J/mol, respectively.

Additionally, based on the structural explanation on the Raman OH stretching band of water, it is derived that the isosbestic point (Figure [2\)](#page-3-0) may indicate the structural  $\mathbb{F}$ homogeneous. Of course, it is different from the mixture structural model. In addition, equilibrium between different local hydrogen bondings around a water molecule. This is  $\mathcal{L}_{\text{A}}$  as long model, the local hydrogen-bonded networks of a water may be a water molecule may be a water ma expressed as follows,

$$
DAA + DDAA = DA + DDA + Free OH
$$
 (4)

<span id="page-6-0"></span>

Figure 5. The dependence of  $ln(I_{Free-OH}/I_{DDAA-OH})$  on 1/T. The solid line represents linear fit  $(R^2 = 0.9975)$  with a slope of  $-\Delta H/R$ . This is used to determine the thermodynamic characteristics of rahedral hydrogen bonding. tetrahedral hydrogen bonding.

DDAA OH  $\overline{a}$ 

−

 $\overline{a}$ 

With decreasing temperature from 298 to 248 K at 0.1 MPa, this decreases the intensity of the high wavenumber sub-bands (>3330 cm<sup>-1</sup>), but increases the intensity of the low wavenumber sub-bands (<3330 cm<sup>-1</sup>) (Figure [2\)](#page-3-0). Therefore, temperature decrease may enhance the probability to form tetrahedral hydrogen-bonded networks around a water molecule, which is related to the nucleation of ice. In fact, according to recent MD simu-lations [\[55](#page-23-8)[–57\]](#page-23-9) on homogeneous ice nucleation, the ordered ice-like intermediate can be found in the supercooled water, and ice nucleation is found to occur in the low-mobility re-gions [\[56](#page-23-10)[,57\]](#page-23-9). Additionally, this intermediate phase has also been found in other theoretical<br>circulations [58,69]. Aurine theoretical form concerned al limite and see I grand Lenge hard spheres and metals. From these, a non-classical pathway, rather than classical nucleation theory (CNT), is proposed in order to understand the nucleation mechanism in supercooled liquids. simulations [\[58](#page-23-11)[–60\]](#page-23-12) during the nucleation from supercooled liquids, such as Lenard-Jones

Water has many unusual thermodynamic and dynamic properties, both in pure form and as a solvent. Additionally, these anomalous behaviors may be strongly enhanced in the supercooled state, such as thermal expansion, isothermal compressibility, etc. To explain the origin of the anomalous behaviors of supercooled water, many theories are proposed, such as the stability limit (SL) conjecture [\[61\]](#page-23-13), the liquid-liquid critical-point (LLCP) hypothesis [\[62\]](#page-23-14), the singularity-free (SF) model [\[63\]](#page-23-15), and the critical-point free scenario [\[64\]](#page-23-16). Recently, numerous works [\[54](#page-23-7)[,65](#page-23-17)[–69\]](#page-23-18) have been carried out to demonstrate the existence of second critical point in LLCP. In fact, LLCP is based on the mixture model of water structure. In LLCP, two liquid phases are expected in water, such as low-density water (LDW) and high-density water (HDW), which may interconvert through a first-order liquid-liquid transition terminating at the second critical point in the supercooled regime.<br>-In this hypothesis, the anomalous behavior of water is due to the fluctuations emanating Following the origin of the anomalous behaviors of the anomalous behaviors of supercooled water, many theorigin of supercooled water, many theorigin of supercooled water, many theoriginal water, many theoriginal water, ma from the LLCP.

With decreasing temperature from 298 to 248 K at 0.1 MPa (Figure [2\)](#page-3-0), this increases the probability to form the tetrahedral (DDAA) hydrogen bondings in supercooled water. In comparison with bulk water, DDAA hydrogen bondings have a larger volume, and lower entropy [\[32\]](#page-22-24). This indicates that the formation of tetrahedral hydrogen bondings in a supercooled regime may be used to explain the anomalies of supercooled water, such as the thermal expansion. From statistical mechanics, the thermal expansion may be related to the correlation of the fluctuations of volume and entropy,  $\alpha_P = \frac{1}{V}(\frac{\partial V}{\partial T})_P = \frac{1}{TV}\langle \Delta S \Delta V \rangle$ .

For most fluids, with increasing volume, it is also accompanied with an increase of entropy. However, regarding water below TM (temperature of maximum density), the fluctuations of volume and entropy may be anti-correlated. From the above, it is more reasonable to explain the anomalous properties of supercooled water from the SF model [\[63\]](#page-23-15). Further study is necessary.

When a solute is dissolved into liquid water, an interface appears between the solute and water. Therefore, the dissolved solute undoubtedly affects the structure of water. At ambient conditions, the OH vibration is mainly related to the local hydrogen-bonded networks of a water molecule. It is derived that the dissolved solute may mainly affect the structure of topmost water layer at the solute-water interface (interfacial water) (Figure [6\)](#page-7-0). In fact, this is in accordance with other studies [\[70–](#page-23-19)[74\]](#page-23-20) on the structure and dynamics of water around ions. These mean that the effects of dissolved ions on water structure may be largely limited to the first solvation shell. Therefore, as a solute is embedded into water, it may be divided into interfacial and bulk water (Figure [6\)](#page-7-0). Regarding the effects of solutes on water structure, these may be related to the solute-water interfaces. In other words, it is related to the water in confined environments. In our Raman spectroscopic study [\[75\]](#page-24-0) on confined water, it can be found that, in comparison with DDAA (tetrahedral) hydrogen bondings, DA hydrogen bondings are expected to form under confined environments. Therefore, it can be derived that the DA hydrogen bonding may tend to form at the solute-water interface.

<span id="page-7-0"></span>



**Figure 6.** Structural changes across the solute-water interface. The dissolved solute mainly affects **Figure 6.** Structural changes across the solute-water interface. The dissolved solute mainly affects the structure of interfacial water (topmost water layer at the interface).

In theory, vibrational sum frequency generation (SFG) spectroscopy provides a In theory, vibrational sum frequency generation (SFG) spectroscopy provides a unique and powerful method to investigate the surface and interface at the molecular level. Many SFG experimental works have been conducted to study the structure of air-water inte[rfa](#page-24-1)[ce \[](#page-24-2)33,76-79]. In recent years, phase sensitive sum-frequency generation (PS-SFG) spectroscopy has been developed b[y S](#page-24-3)[hen](#page-24-4) et al. [80,81]. From the PS-SFG measurements, Im $\chi$ (2) may be directly determined, and the sign of the imaginary part of  $\chi$ (2) may be utilized to reflect the water molecular dipole direction. Based on our SFG study on the air-water interface [\[33\]](#page-22-25), no tetrahedral (DDAA) hydrogen bonding is expected to form in the interfacial water, and an obvious structural difference may be found across the air-water interface.

From the Raman spectroscopic studies [30–32], DDAA (tetrahedral) and DA are the From the Raman spectroscopic studies [\[30](#page-22-14)[–32\]](#page-22-24), DDAA (tetrahedral) and DA are the predominant hydrogen-bonded networks in ambient water. Additionally, they are related

to the structural changes across the solute-water interface (Figure [6\)](#page-7-0). It is necessary to understand the characteristics of DDAA and DA hydrogen bondings. For ambient water, the DDAA-OH, located around 3220 cm<sup>-1</sup>, lies at a lower frequency than DA-OH (3430 cm<sup>-1</sup>) (Figure [4\)](#page-5-0). This indicates that, in comparison with DA hydrogen bonding, the formation of DDAA structural motif may result in higher hydrogen bonding energies. Additionally, it is necessary for the interacting molecules to lie in specific relative orientations to form hydrogen bondings between neighboring water molecules, therefore DDAA (tetrahedral) is expected to own the lower entropy rather than DA hydrogen bonding. In addition, based on the experimental measurements [\[82\]](#page-24-5) and theoretical simulations [\[83](#page-24-6)[,84\]](#page-24-7), higher water density can be found at the solute-water interface, which may be related to the formation of DA in interfacial water. Therefore, higher density is expected for DA as a structural motif than it is for DDAA hydrogen bonding. In comparison with DDAA (tetrahedral) hydrogen-bonded network, the DA structural motif owns a lower enthalpy, and a higher entropy and density.

The dissolved solute mainly affects the structure of interfacial water. Additionally, DA structural motif tends to form at the interface between solute and water. In other words, this means that the loss of tetrahedral hydrogen bonding may be related to the formation of solute-water interface (Figure [6\)](#page-7-0). Certainly, this is different from the "iceberg" structural model proposed by Frank and Evans [\[8\]](#page-21-4). In history, the "iceberg" structural model was proposed in order to understand the large and negative entropy while the simple solutes were dissolved in water. In Frank and Evans' work [\[8\]](#page-21-4), an increase in the structure of water was utilized to explain the negative entropy incurred from the dissolved non-polar molecules. However, they did not explain why the hydrophobic solute could lead to increase of the order of the system. In fact, this may be due to the transition from interfacial to bulk water as the solutes are dissolved in solutions, which leads to the increase of DDAA hydrogen bondings in water.

The effects of dissolved solute on water structure are mainly limited within the interfacial water layer (Figure [6\)](#page-7-0). Additionally, the formation of solute-water interface is due to the loss of tetrahedral hydrogen bonding in interfacial water. Therefore, as the ratio of interfacial water layer to volume is obtained, this may be utilized to calculate the Gibbs free energy of the interface between solute and water. From this, it is reasonably expressed as,

$$
\Delta G_{\text{Solute-water}} = \Delta G_{\text{DDAA}} \cdot R_{\text{Interfacial water/volume}} \cdot n_{\text{HB}} \tag{5}
$$

in which ∆G<sub>DDAA</sub> means the Gibbs free energy of tetrahedral hydrogen bonding,  $R_{\text{Interfacial water/volume}}$  is the molecular number ratio of interfacial water layer to volume, and  $n<sub>HB</sub>$  means the average hydrogen bonding number per molecule. For tetrahedral hydrogen bonding,  $n_{HB}$  is equal to 2.

#### <span id="page-8-0"></span>**3. Hydrophobic Effects**

When a solute is embedded in liquid water, it is thermodynamically equivalent to form the solute-water interface. After the solute is treated as a sphere, the R<sub>Interfacial water/volume</sub> is  $4 \cdot r_{H2O}/R$ , in which R means the radius of solute. The hydration free energy is the free energy associated with the transfer of solute from vacuum to water. Therefore, as the sphere solute is dissolved into water, hydration free energy may be described as (Figure [7\)](#page-9-0),

$$
\Delta G_{Hydration} = \Delta G_{Water-water} + \Delta G_{Solute-water} = \Delta G_{Water-water} + \frac{8 \cdot \Delta G_{DDAA} \cdot r_{H2O}}{R}
$$
 (6)

in which  $\Delta G_{Water-water}$  is the Gibbs free energy of water, r<sub>H2O</sub> is the average radius of a H<sub>2</sub>O molecule. For water at 293 K and 0.1 MPa, Gibbs free energy ( $\Delta G_{Water-water}$ ) is −1500 cal/mol [\[85\]](#page-24-8). Additionally, the average volume of a water molecule is  $3 \times 10^{-29}$  m<sup>3</sup> at ambient conditions. After the water molecule is regarded as a sphere, the corresponding diameter is 3.8 Å, and  $r_{H2O}$  is 1.9 Å. In addition, the Gibbs free energy of tetrahedral hydrogen bonding ( $\Delta G_{\rm DDAA}$ ) is calculated to be  $-2.66$  kJ/mol at 293 K and 0.1 MPa.

<span id="page-9-0"></span>

**Figure 7.** Hydration free energy at 293 K and 0.1 MPa. Hydration free energy is related to the size **Figure 7.** Hydration free energy at 293 K and 0.1 MPa. Hydration free energy is related to the size of solute, and critical radius (Rc) is expected. With increasing the solute size, it is divided into initial and hydrophobic solvation processes.

In thermodynamics, the lower the hydration free energy, the more stable the system is. Because hydration free energy is the sum of ∆G<sub>Water-water</sub> and ∆G<sub>Solute-water</sub>, it may be dominated by the Gibbs energy of bulk water ( $\Delta G_{Water-water}$ ) or interfacial water ( $\Delta G_{Solute-water}$ ). Of course, it is related to the size of dissolved solute. Therefore, the structural transition may be expected to occur as  $\Delta G_{\text{Water-water}}$  being equal to  $\Delta G_{\text{Solute-water}}$ ,

$$
\Delta G_{Water-water} = \Delta G_{Solute-water} (Rc = \frac{8 \cdot \Delta G_{DDAA} \cdot r_{H_2O}}{\Delta G_{Water-water}})
$$
\n(7)

in which Rc means the critical radius of dissolved solute [\[34\]](#page-22-16). In our recent study [\[34\]](#page-22-16), Rc is determined to be 6.5 Å at 293 K and 0.1 MPa (Figure [7\)](#page-9-0).

With increasing the solute size, it is reasonably divided into the initial (∆G<sub>Water-water</sub> < ∆G<sub>Solute-water</sub>) and hydrophobic (∆G<sub>Water-water</sub> > ∆G<sub>Solute-water</sub>) solvation processes. The Gibbs free energy between solute and water ( $\Delta G_{\text{Solute-water}}$ ) is inversely proportional to the solute size  $(1/R)$ , which is related to the ratio of surface area to volume. Therefore, various dissolved behaviors of solutes in aqueous solutions may be expected in initial and hydrophobic processes, which may be related to the solute size (or concentrations) (Figure [8\)](#page-10-0).

In the initial solvation process,  $\Delta G_{\text{Solute-water}}$  is lower than  $\Delta G_{\text{Water-water}}$  (both of them are negative), or the solute size is smaller than Rc. Therefore, hydration free energy is dominated by the Gibbs free energy of interfacial water ( $\Delta G_{\text{Solute-water}}$ ) [\[34](#page-22-16)[,36\]](#page-22-26). To become more thermodynamically stable, this is fulfilled through maximizing the  $|\Delta G_{\text{Solute-water}}|$ . In other words, it is achieved through maximizing the ratio of surface area to volume of dissolved solutes. Therefore, the solutes may be dispersed in aqueous solutions, and water molecules are found between them (Figure  $8$ ). In addition, hydration free energy is proportional to the volume (or concentrations) of dissolved solutes.

Additionally, the dissolved solute mainly affects the hydrogen-bonded networks of interfacial water, DA hydrogen bondings tend to form within interfacial water layer [\[34\]](#page-22-16). In the initial solvation process, hydration free energy may be related to DA hydrogen bondings. In comparison with DDAA (tetrahedral) structural motif, DA hydrogen bonding owns weaker hydrogen bonding energy, and higher entropy. Therefore, the driving force may be thermodynamically ascribed to the increase of entropy arising from interfacial water [\[34\]](#page-22-16).

<span id="page-10-0"></span>

**Figure 8.** Different dissolved behaviors of solutes in aqueous solutions may be expected in initial (a) and the denominator of the second set of the (**a**) and hydrophobic (**b**) solvation processes. hydrophobic (**b**) solvation processes.

than bulk water ( $\Delta G_{\text{Solute-water}} > \Delta G_{\text{Water-water}}$ ). To be more thermodynamically stable, this may be fulfilled through maximizing  $\vert \Delta G_{\text{Water-water}} \vert$ . Indeed, this is accompanied with the minimization of Gibbs free energy of interfacial water ( $|\Delta G_{\text{Solute-water}}|$ ). From the above, the  $\Delta G_{Solute-water}$  is related to the ratio of surface area to volume of solutes. It can be derived that the dissolved solutes may be aggregated in solutions in order to maximize the hydrogen bondings of water [\[34,](#page-22-16)[36\]](#page-22-26). Therefore, the "attractive" forces may be expected between solutes in hydrophobic solvation process (Figure [8\)](#page-10-0). In hydrophobic solvation process, Gibbs free energy of interfacial water is higher

Due to the existence of DDAA (tetrahedral) hydrogen bondings in bulk water, this leads to ∆G<sub>Water-water</sub> being lower than ∆G<sub>Solute-water</sub>. In comparison with DA hydrogen bonding, tetrahedral hydrogen-bonded networks own the stronger hydrogen bonding energy, and lower entropy [\[32\]](#page-22-24). Regarding the "attractive" force between solutes, it may be ascribed to be an enthalpic process, which is related to DDAA (tetrahedral) hydrogen bondings in bulk water. As the solutes are aggregated in water, they are also accompanied with the loss of entropy, which is related to the transition from interfacial to bulk water (Figure [8\)](#page-10-0). Additionally, hydration free energy is proportional to the surface area of dissolved solutes.

The solutes may be "dispersed" or "accumulated" in water, which is related to the size of the solute. As two same sphere solutes are embedded into water, hydration free energy may be related to the separation between them. Therefore, the corresponding Rc is 3.25 Å at 293 K and 0.1 MPa [\[36\]](#page-22-26). At ambient conditions, hydrophobic interactions may be expected as the solute radius is larger than 3.25 Å ( $>$ 3.25 Å). This is demonstrated by our recent MD simulations [\[36\]](#page-22-26) on two  $C_{60}$  fullerenes in water, and a pair of CH<sub>4</sub> molecules in water (Figure [9\)](#page-11-0). Based on the calculated potential mean forces (PMFs), the water-induced contributions may be determined for  $C_{60}$ - $C_{60}$  in water, and CH<sub>4</sub>-CH<sub>4</sub> in water, respectively. It seems that there exists the "attractive" force between two fullerenes (Figure [9\)](#page-11-0). This is due to the radius of  $C_{60}$  fullerene is larger than Rc. Because the CH<sub>4</sub> radius is less than 3.25 Å, water molecules are expected to exist in the region between two  $CH<sub>4</sub>$  molecules. The dissolved  $CH<sub>4</sub>$  molecules tend to be engaged in the solvent-separated conformation (Figure [9\)](#page-11-0). In other words, as the  $CH_4$  molecules are pushed together, this leads to the water molecules between them to be expelled into bulk water, which may be

less thermodynamically stable. Therefore, as the  $CH_4$  molecules are associated in water, the "repulsive" forces between them may be expected. This is in agreement with the Ashbaugh et al. MD simulations [\[86\]](#page-24-9).

<span id="page-11-0"></span>

Figure 9. The dependence of hydrophobic interactions on solute size. Based on the calculated potential of mean forces (PMFs) between  $C_{60}$  fullerenes in water and under vacuum (**a**), the waterinduced PMF between C<sup>60</sup> fullerenes is determined (**b**). It is fitted as, ΔG = −3.35 + γ·3.80/(r−10). induced PMF between C<sup>60</sup> fullerenes is determined (**b**). It is fitted as, ∆G = −3.35 + γ·3.80/(r − 10). During the H1w process, γ = 1. In the H2s process, solutes become contact in solutions. The fitted During the H1w process,  $γ = 1$ . In the H2s process, solutes become contact in solutions. The fitted  $(2.8, 2.6, 0.1)$ results at various γ (0.8, 0.6, 0.4) are drawn in squares. From the PMFs between CH<sub>4</sub> dimers in water and under vacuum (**c**), these are used to determine the water-induced PMF between CH<sub>4</sub> dimers (**d**). Stable configurations are also shown.

To investigate the thermodynamic properties of hydrophobic interactions, the To investigate the thermodynamic properties of hydrophobic interactions, the PMFs can be determined through MD simulations on  $C_{60}$ - $C_{60}$  fullerenes in water, and CH<sub>4</sub>-CH<sub>4</sub><br>in gradier of different through MD simulations on  $C_{60}$ -C<sub>60</sub> fullerenes in water, and CH<sub>4</sub>-CH<sub>4</sub>  $B_{\text{S}}$  and  $B_{\text{S}}$  is a calculated for  $C_{\text{S}}$  in water-induced for  $\overline{C}$  in  $\overline{C}$ .  $\overline{C}$  is  $\overline{C}$  in  $\overline$ the calculated  $\Delta G_{Water-induced}$  for  $C_{60}$  in water, and  $CH_4$ -CH<sub>4</sub> in water, these can be associated to determine the thermodynamic functions as the solution are associated in unter in water (Figure 10). To be more thermodynamically stable, the two CH<sup>4</sup> molecules are (Figure [10\)](#page-12-0). To be more thermodynamically stable, the two CH<sup>4</sup> molecules are engaged in the solvent-separated conformation. In thermodynamics, it is driven by the entropy entropy contributions related to interfacial water (Figure 10). However, the two fuller-contributions related to interfacial water (Figure [10\)](#page-12-0). However, the two fullerenes tend to enes tend to be accumulated in solutions. Thermodynamically, it is dominated by en-be accumulated in solutions. Thermodynamically, it is dominated by enthalpy related to thalpy related to maximizing the hydrogen bondings of water. Additionally, this is also maximizing the hydrogen bondings of water. Additionally, this is also accompanied with and decreased with the loss of entropy. Indeed, this is related to the transition from interfacial to bulk water as the fullerenes are aggregated in solutions, especially as the distance between them is less than 13 Å (Figure [10\)](#page-12-0). Therefore, various thermodynamic driving forces may be expected in initial and hydrophobic solvation processes, which may be also in agreement with the above structural studies. in water at different temperature (300 K, 320 K and 340 K) (Unpublished data). Based on applied to determine the thermodynamic functions as the solutes are associated in water

<span id="page-12-0"></span>

**Figure 10.** The thermodynamic characteristics of hydrophobic interactions. Based on the calculated **Figure 10.** The thermodynamic characteristics of hydrophobic interactions. Based on the calculated PMFs of  $C_{60}$ - $C_{60}$  fullerenes and CH<sub>4</sub>-CH<sub>4</sub> in water at different temperatures (300 K, 320 K and 340 K), water contributions to Gibbs energy ( $\Delta G_W$ ) are determined, which are used to calculate the enthalpic ( $\Delta H_W$ ) and entropic (-T· $\Delta S_W$ ) contributions. Different thermodynamic characteristics may be expected in initial (**a**) and hydrophobic (**b**) solvation processes. be expected in initial (**a**) and hydrophobic (**b**) solvation processes.

In addition, enthalpy-entropy compensation (EEC) has been attracting attention be-In addition, enthalpy-entropy compensation (EEC) has been attracting attention because it is involved in many research fields [87–91], especially for the understanding of cause it is involved in many research fields [\[87](#page-24-10)[–91\]](#page-24-11), especially for the understanding of molecular recognition and drug design. In thermodynamics, EEC means that, if, for the particular reaction, ∆H and ∆S are changing in one direction (either increasing or decreasing), their changes that are transformed into ∆G are mutually compensated, and there is little change in the value of ∆G. To understand the nature of EEC, many works have been carried out. This means that EEC is real, very common, and a consequence of the properties of liquid water. Based on the calculated thermodynamics functions (Figure [10\)](#page-12-0), hydrophobic interactions are closely related to EEC. Therefore, water plays a vital role in the process of EEC. Of course, this has been demonstrated i[n th](#page-24-11)e work of Gilli et al. [91].

The dissolved solutes mainly affect the structure of interfacial water. Therefore, the effects of solutes on water structure may be related to the surfaces of solutes to be available for interfacial water. Owing to hydrophobic interactions, the dissolved solutes are attracted and tend to be aggregated in aqueous solutions in order to maximize the hydrogen bondings of water. In fact, the solutes coming into contact undoubtedly leads to the decrease of the solute surfaces available for interfacial water. Therefore, the Gibbs free energy of interfacial water may be described as, interfacial water. The Gibbs  $\alpha$ 

$$
\Delta G_{\text{Interfacial water}} = \gamma \cdot \Delta G_{\text{Solute-water}} \tag{8}
$$

surfaces while solutes are accumulated in water. Naturally, the solutes are rarely rigid. As they are dissolved in solutions, this may be accompanied by the changes of solute volume. From this,  $\gamma$  may be generally expressed as, where  $\gamma$  is named as the geometric factor [\[36\]](#page-22-26). It is proposed to reflect the changes of solute

$$
\gamma = \frac{\left(\frac{\text{Surface area}}{\text{Volume}}\right)_{\text{Aggregate}}}{\left(\frac{\text{Surface area}}{\text{Volume}}\right)_{\text{Non-aggregate}}} = f\left(\frac{1}{r_{\text{Separation}}}\right) \tag{9}
$$

in which r<sub>Separation</sub> means the distance between solutes. When the solutes come into contact, the corresponding distance between them is termed 'the hydrophobic radius'  $(R_H)$  [\[36\]](#page-22-26). As the solutes are aggregated in water, it may be divided into H1w and H2s hydrophobic solvation processes, respectively (Figure [9\)](#page-11-0).

Water molecules may be found between the dissolved solutes in  $H1w$  hydrophobic process, the separation between solutes is larger than  $R_H$  (> $R_H$ ), or γ is 1 [\[36\]](#page-22-26). Due to hydrophobic interactions, the solutes are attracted to approaching each other. This decreases the distance between the solutes, and the water molecules in the region between them are expelled into bulk water. Therefore, hydrophobic interactions are fulfilled by the rearrangement of water molecules. Additionally, energy barriers may be expected in<br>rier, in which less water molecules may be expected in the H1w process, due to the expelled water molecules. Thermodynamically, the dissolved solutes are expected to approach each other in the direction with the lowest energy barrier,<br>As the dissolved solutes come in the more into contact in the dissolved solutional solution and in which less water molecules may be expelled. From the above, the directional nature may<br>he surfacted in the Ulay bardwarkship was seen  $\Omega_{\overline{d}}$ be expected in the H1w hydrophobic process [\[37\]](#page-22-27).

 $\epsilon$  expected in the H1W hydrophobic process [ση].<br>As the dissolved solutes come into contact in the H2s hydrophobic process (<R<sub>H</sub>), γ is Less than  $1 (\gamma < 1)$ . The aggregation of solute surface undoubtedly leads to the decrease of solute surface to be available for interfacial water.  $\Delta G_{\text{Solute}-\text{water}}$  is proportional to the ratio solute surface to be available for interfacial water.  $\Delta G_{\text{Solute}-\text{water}}$  is proportional to the ratio of surface area to volume of the solutes. To become more thermodynamically stable, the solutes may be accumulated to minimize their ratio of surface area to volume. In fact, this solutes may be accumulated to minimize their ratio of surface area to volume. In fact, this the may be demonstrated by MD simulations on graphite sheets [\[92,](#page-24-12)[93\]](#page-24-13) and carbon nanotubes (CNTs) [\[93](#page-24-13)[,94\]](#page-24-14) in solutions. Therefore, in the H2s solvation process, the directional nature of hydrophobic interactions is also expected. From the above, different directional natures are found in H1w and H2s processes, which may be discussed in the following section.

While the solutes are accumulated in solutions, the dewetting transition process, which is similar to the liquid-gas phase transition, may be observed (Figure [11\)](#page-13-0). In 1995, according to MD simulations, Wallqvist and Berne [\[95](#page-24-15)[,96\]](#page-24-16) found the depletion of water between two nanoscale hydrophobic particles. Since then, water dewetting has been observed in many MD simulations on nanotubes and plates in water [\[97](#page-24-17)[,98\]](#page-24-18), water-protein interfaces [\[99\]](#page-24-19), and collapsing polymers [\[100\]](#page-24-20). Generally, the dewetting is believed to lead to the long-range hydrophobic attraction between solutes. Based on our recent study [\[36\]](#page-22-26), dewetting may be closely related to H2s hydrophobic process, in which a single water layer between solutes may be expelled, and solutes become contact in solutions. In addition, dewetting is also related to the intermolecular interactions between solutes.

<span id="page-13-0"></span>

**Figure 11.** The changes of interfacial (a) and bulk (b) water during two  $C_{60}$  fullerenes are aggregated in solutions. The dashed line represents the corresponding time of  $R<sub>H</sub>$ .

Hydrophobic effects are usually defined as the tendency of non-polar solutes to be aggregated in aqueous environments. From our recent studies [\[34,](#page-22-16)[36,](#page-22-26)[37\]](#page-22-27) on hydrophobic effects, this may be reasonably described as the tendency for minimizing the ratio of the surface area to the volume of the solutes in order to maximize the hydrogen bondings of water. In fact, this is due to the dissolved solute mainly affecting the structure of interfacial water, as the hydrogen bondings of interfacial water are weaker than bulk water. Additionally, it is found that hydrophobic interactions are reasonably attributed to the structural competition between interfacial and bulk water. Therefore, it is reasonable to regard the hydrophobicity as "effects" rather than "bonds" [\[34,](#page-22-16)[36,](#page-22-26)[37\]](#page-22-27).

# <span id="page-14-0"></span>**4. Characteristics of Hydrophobic Interactions**

Owing to hydrophobic interactions, the solutes are attracted to and tend to be aggregated in aqueous solutions to maximize the hydrogen bondings of water. The dissolved solutes mainly affect the structure of interfacial water. Therefore, hydrophobic interactions may be closely related to the geometric characteristics of solute, such as the solute size (or concentrations), the shape of solute, and the relative orientation between dissolved solutes. Additionally, hydrophobic interactions are due to the structural competition between interfacial and bulk water. In fact, water structure may be affected by many factors, such as temperature, pressure, dissolved salt, confined environments, etc. Therefore, these factors may also affect the hydrophobic interactions. In this review, it is focused on the dependence of hydrophobic interactions on solute size (or concentrations), the directional natures of hydrophobic interactions, and the temperature effects on hydrophobic interactions.

## *4.1. Dependence of Hydrophobic Interactions on Solute Size (or Concentrations)*

With increasing the solute size, it may be divided into initial and hydrophobic solvation processes. Additionally, different dissolved behaviors of solutes may be expected in initial and hydrophobic solvation processes, such as the "dispersed" or "accumulated" distributions in solutions, which may be related to the solute size (or concentrations). In hydrophobic solvation process, the "attractive" forces are expected between the dissolved solutes. To maximize the hydrogen bondings of water, the dissolved solutes tend to be accumulated to form the aggregate in aqueous environments. Regarding the strength of hydrophobic interactions, this may be related to the size of aggregate. In our recent study [\[38\]](#page-22-15), this is utilized to understand the origin of intermediate phase, which may be found before solute nucleation occurs in aqueous solutions.

In general, classical nucleation theory (CNT) is employed to understand the mechanism of nucleation. In the nucleation process, it is assumed that the free energy may be divided into a favorable term, and an unfavorable term. They are respectively related to the number of particles in the nucleus, and the dividing surface between the nucleus and the solution. In CNT, the free energy difference may be expressed as,

$$
\Delta G_{\text{CNT}} = -\Delta \mu \cdot \mathbf{n} + \gamma \cdot \mathbf{s} \tag{10}
$$

in which n is the molecular number of the crystal phase, ∆µ represents the difference of chemical potential between crystal and liquid phase,  $γ$  means the surface tension, and s is the surface of the nucleus. From this, the critical nucleus (Nc) is expected in the process of nucleation.

The nucleation of crystals from solution is a ubiquitous process, which plays an important role in many research fields. To unravel the nucleation mechanism, many experimental approaches [\[101](#page-24-21)[–105\]](#page-25-0) have been developed and applied to study the nucleation mechanism of crystal in aqueous solutions. Different from CNT, the intermediate phase is found before the nucleation takes place in solutions, such as amorphous calcium carbonate (ACC) [\[104,](#page-24-22)[105\]](#page-25-0). Therefore, the intermediate phase is regarded to be related to the solidification pathway of dissolved solutes. To understand the non-CNT pathway, various models are put forth, such as the two-step nucleation model [\[106](#page-25-1)[,107\]](#page-25-2). According to the two-step nucleation mechanism, amorphous nuclei (intermediate phase) may be formed in the first

step. Of course, it is necessary to overcome the energy barrier to form intermediate phases in solutions. In the second step, the nucleation of crystal is expected to take place in the middle of the amorphous phase. In comparison with direct nucleation from solution, the lower energy barrier is needed to overcome in the second step.

Regarding the non-CNT pathway, it is important to understand the origin of intermediate phase. Many theoretical simulations [\[38](#page-22-15)[,108–](#page-25-3)[115\]](#page-25-4) have been carried out to study the mechanism of NaCl nucleation from the solutions. In a Giberti et al. study [\[108\]](#page-25-3), a wurtzite-like polymorph was found in the nucleation process, which was regarded as an intermediate route during NaCl nucleation in the solution. Based on the Chakraborty and Patey MD simulation [\[113\]](#page-25-5), an unstructured dense NaCl nucleus was found and transformed into the rock salt structure. These seem to support the two-step nucleation mechanism. According to our recent MD simulations [\[38\]](#page-22-15), it is found that the dissolved behaviors of NaCl in water may be related to ion concentrations. With increasing the concentrations, the dissolved Na<sup>+</sup> and Cl<sup>−</sup> ions may be associated to form the aggregate in solutions. However, no energy barrier is necessary to overcome this during the formation of the aggregate, which is different from the two-step nucleation mechanism. Additionally, as the ion aggregate is formed in solution, this is accompanied with the maximization of hydrogen bondings of water. In combination with our recent works [\[34](#page-22-16)[,36\]](#page-22-26), this is reasonably ascribed to the hydrophobic interactions. Compared with CNT, the formation of solute aggregate may lower the barrier height of nucleation and affect the nucleation mechanism of NaCl crystal in water.

With increasing ion concentrations, the dissolved ions tend to be aggregated to form the intermediate phase in solutions, which may be related to hydrophobic interactions. However, this is not considered in CNT theory. To explain the nucleation mechanism in solutions, it may be necessary to take into account the hydrophobic interactions related to the formation of aggregate. From this, CNT is reasonably revised as (Rev-CNT) (Figure [12\)](#page-16-0),

$$
\Delta G_{\text{Rev}} = \Delta G_{\text{CNT}} - \Delta G_{\text{H}} \tag{11}
$$

where  $\Delta G_H$  represents the hydrophobic interactions related to the formation of intermediate phase. Additionally, different from the Nc in CNT, the critical aggregate (Agg<sub>C</sub>) may be expected, which is the largest aggregate as the nucleation takes place in the middle of intermediate phase.

Generally, nucleation processes can be classified as homogeneous and heterogeneous [\[116\]](#page-25-6). For heterogeneous nucleation, it is formulated within the CNT framework, which is expressed as,

$$
\Delta G_{\text{Heterogeneous}} = \Delta G_{\text{Homogeneous}} \cdot f(\theta) \tag{12}
$$

in which  $f(\theta)$  is the shape factor in the range of 0 to 1 [\[117\]](#page-25-7). Due to the existence of foreign surface, this lowers the nucleation barrier in the heterogeneous nucleation process. Therefore, it is crucial to understand the fundamentals of heterogeneous nucleation in order to achieve control over the nucleation process. In fact, as the foreign substances are embedded into water, they also affect the structure of interfacial water. To maximize the hydrogen bondings of water, the dissolved ions are expected to be accumulated at the surfaces of the substances. Due to the appearance of foreign substances, it is helpful to form the ion aggregate at the foreign surfaces, which may facilitate the phase transition (heterogeneous nucleation). Additionally, in combination with recent study [\[38\]](#page-22-15), it is derived that heterogeneous nucleation may be affected by the geometric characteristics of foreign surface, especially geometric shape. In addition, it is also related to the molecular polarity of foreign surface. Further study is necessary.

<span id="page-16-0"></span>

 $\Delta G_{\text{CNT}} = -\Delta \mu \cdot n + \gamma \cdot s \implies \text{Nc (Critical nucleus)}$ 

Dilute solution (CNT)



 $\Delta G_{\text{Rev}} = \Delta G_{\text{CNT}} - \Delta G_H \longrightarrow$  Aggc (Critical aggregate, the largest aggregate as the nucleation occurs)

#### Concentrated solution (Rev-CNT)

**Figure 12.** The homogeneous nucleation mechanism of dissolved solutes in water. The dissolved **Figure 12.** The homogeneous nucleation mechanism of dissolved solutes in water. The dissolved behaviors of solutes in water are related to the ion concentrations, which affect the nucleation behaviors of solutes in water are related to the ion concentrations, which affect the nucleation mechanism of crystal in water. Due to the formation of solute aggregate, this lowers the height of mechanism of crystal in water. Due to the formation of solute aggregate, this lowers the height of nucleation barrier.

# Generally, nucleation processes can be classified as homogeneous and heterogene-*4.2. Directional Natures of Hydrophobic Interactions*

As the solutes are aggregated in aqueous solutions, it may be divided into H1w and H2s hydrophobic processes. In our recent study [\[37\]](#page-22-27), different directional natures are found in the H1w and H2s processes. In the H1w process, the dissolved solutes are<br>cynected to enamesch in the creative direction with the lowest energy harrior in which fewer expelled water molecules are expected. However, as the solutes come into contact in the H2s hydrophobic process ( $\langle R_H \rangle$ , the solutes may be aggregated to minimize the ratio of surface area to volume of them. Additionally, the necessary pathway, where the solutes tend to pass through, may be expected while they are aggregated in water. Based on our recent study [\[37\]](#page-22-27) on directional nature of hydrophobic interactions, it is utilized to understand the nature of molecular recognition, especially the molecular specificity. expected to approach in the specific direction with the lowest energy barrier, in which

Molecular recognition is closely related to biological process and drug design. In the process of molecular recognition, two or more binding partners may interact through non-covalent interactions to form a specific complex in solutions. Numerous works have been devoted to understanding the nature of molecular recognition. To date, three struc-<br> tural models have been proposed, such as Fischer's lock-and-key model [\[118\]](#page-25-8), Koshland's<br>in a later did have been proposed, such as Fischer's lock-and-key model [118], Koshland's induced fit model [\[119\]](#page-25-9), and the conformational selection model [\[120](#page-25-10)[–123\]](#page-25-11). Based on the *4.2. Directional Natures of Hydrophobic Interactions* as that of ligand-bound protein. In the induced fit model, the conformational differences formation, which may be more complementary to the binding partner, is expected for the protein. According to the conformational selection model, this means that all protein conformations pre-exist, and the ligand may select the most favored conformation. After the ligand is bound with the protein, a population shift may be expected, which may lead to redistribution of the conformational states. lock-and-key model, it is assumed that the conformation of the free protein is the same are expected after the ligand is associated with the target protein. Therefore, a new con-

In the process of molecular recognition, it is necessary to require the molecular specificity and affinity. Molecular specificity may be defined as why two or more binding partners approach and bind together to form a specific complex in aqueous environment [124–127]. Regarding the binding affinity, it determines whether the complex will be formed in aqueous environments, which is related to the strength of non-covalent interactions between partners. In other words, the binding affinity may be closely related to the thermodynamic stability of the molecular association in aqueous solutions.

In fact, the molecules are rarely rigid. Therefore, the conformational changes may be expected for partners before and after they are associated in aqueous solutions. However, it is well known that shape complementarity plays an important role as a ligand is associated with a target protein. In fact, this is emphasized in each structural model of molecular recognition. This means that, when a ligand is associated with a given target protein, it must fit into the cavity of the protein without steric conflicts. Based on our studies [\[34,](#page-22-16)[36,](#page-22-26)[37\]](#page-22-27) on hydrophobic interactions, this may agree with the minimization of the ratio of surface area to volume of the solutes in the H2s process.

With decreasing the separation between partners, the direct interactions between them become stronger, such as van der Waals interactions, etc. In fact, it is necessary for partners to approach each other in solutions so that they are affected by the direct solute-solute interactions. Of course, this is due to hydrophobic interactions, which may lead to the dissolved solutes to approach each other in solutions. In other words, it seems that there exist the "attractive" forces between the solutes. Based on our recent work [\[37\]](#page-22-27), molecular recognition is reasonably regarded to be driven by hydrophobic interactions (HDM, hydrophobic-interaction driven model [\[37\]](#page-22-27)) (Figure [13\)](#page-17-0).

<span id="page-17-0"></span>

**Figure 13.** Hydrophobic-interaction-driven model (HDM) of molecular recognition. The solutes **Figure 13.** Hydrophobic-interaction-driven model (HDM) of molecular recognition. The solutes mainly affect the structure of interfacial water (dashed line). Due to hydrophobic interactions, the mainly affect the structure of interfacial water (dashed line). Due to hydrophobic interactions, the solutes are attracted and approach in the direction with the lowest energy barrier in the H1w process. As the solutes become contact in the H2s process, they are accumulated in a specific direction to the microcalles become contact in the theo processor and accumulated in a specific direction to minimize the surface area to volume ratio. Additionally, with decreasing separation between the solutes in the H2s process, the solute-solute interactions become stronger. The affinity of molecular recognition is related to both the hydrophobic interactions and the solute-solute interactions.

From our recent studies [34,37], hydrophobic interactions may be closely related to From our recent studies [\[34,](#page-22-16)[37\]](#page-22-27), hydrophobic interactions may be closely related to both molecular specificity and affinity, especially the specificity of molecular recognition  $\mathbb{C}$ (Figure 13). According to HDM model, the molecular recognition may be divided into (Figure [13\)](#page-17-0). According to HDM model, the molecular recognition may be divided into the following stages:

(I) Owing to the directional nature of hydrophobic interactions in H1w process, the (I) Owing to the directional nature of hydrophobic interactions in H1w process, the dissolved solutes tend to approach in the specific direction with the lowest energy barrier, in which fewer water molecules between solutes may be expelled into bulk.<br>(I) When the exlitted because southed in the U2s was seen the solutes may be

 $\mu$ ,  $\mu$  when the solution term the solution of the solution  $\mu$  is the solution of the dimensional contact in the solution of the solutio to minimize the ratio of surface area to volume of them. This is related to the directional (II) When the solutes become contact in the H2s process, the solutes may be aggregated nature of H2s hydrophobic process. In addition, this is utilized to explain the specificity in the process of molecular recognition.

(III) With decreasing distance between the partners, the direct intermolecular interactions between them become stronger, especially in the H2s process. Regarding the affinity of molecular recognition, it may be related to both hydrophobicity and the solute-solute interactions.

#### *4.3. Temperature Effects on on Hydrophobic Interactions*

Water plays an important role in the process of hydrophobic interactions. The hydrogen bondings of water may be influenced by temperature. Therefore, the changes of temperature undoubtedly affect the hydrophobic interactions. According to our recent study [\[128\]](#page-25-14), the temperature effects on hydrophobic interactions may be related to the size of solute (Figure [14\)](#page-18-0). With increasing temperature, this leads to the decrease of Rc (Figure [14\)](#page-18-0). This may be utilized to investigate the nature of protein unfolding at high temperature (heat unfolding) and low temperature (cold denaturation).

<span id="page-18-0"></span>

**Figure 14.** The temperature effects on hydration free energy at 0.1 MPa. Regarding the dependence **Figure 14.** The temperature effects on hydration free energy at 0.1 MPa. Regarding the dependence of hydration free energy on temperature, it is related to the size of solute. With increasing temperature, this decreases the  $\alpha$ .

In thermodynamics, proteins may be stable in a limited range of temperatures. Both In thermodynamics, proteins may be stable in a limited range of temperatures. Both low and high temperatures may make the native protein structure become unstable, low and high temperatures may make the native protein structure become unstable, which leads to the denaturation of protein structure. It is well known that the native structure of protein may be disrupted at high temperature. However, regarding the molecular mecha-nism of cold denaturation, it is still under debate [\[129–](#page-25-15)[134\]](#page-25-16). To date, the cold denaturation is usually attributed to the decreasing strength of the hydro[phob](#page-25-15)[ic in](#page-25-17)teractions [129-131].

Hydrophobic interactions play a vital role in the process of protein folding. In general, protein folding may be regarded to be driven by hydrophobic interactions. Due to hydrophobic interactions, this leads to the structural collapse of nonpolar amino acids of protein in solutions, which decreases the separation between the residues of protein. During protein folding, the direct interactions between residues become stronger, such as van der Waals interactions, hydrogen bondings, etc. Additionally, hydrophobic interactions also play an important role to keep the native structure of protein stable. According to Pace et al. works [\[135](#page-25-18)[,136\]](#page-25-19), hydrophobic interactions may be treated as the dominant contribution to keep protein stable, which may be greater than 50%.

Thermodynamically, protein stability may be evaluated by the energy difference between the folded and unfolded state of the protein in aqueous solutions. This energy difference can be used to determine whether a protein will keep its native folded conformation at a low temperature (or a high temperature). Based on experimental measurements, the free energy difference between these states is usually between 20 and 60 kJ/mol [\[137\]](#page-25-20). Of course, this may be sufficient to prevent spontaneous unfolding at ambient conditions. However, it is noted that the protein native structure may be only marginally stable [\[138\]](#page-25-21).

The changes of temperature may affect hydrophobic interactions, which undoubtedly affects the stability of protein structure. From our recent studies [\[34](#page-22-16)[–36\]](#page-22-26), hydrophobic interactions may be related to the size of solute. Therefore, it is necessary to understand the structural characteristics of protein. After the protein is globally regarded as a solute, the radii (R) are usually in the range from 12 and 23  $\AA$  [\[26\]](#page-22-10), which is larger than Rc. Therefore, protein folding is wholly regarded to be driven by hydrophobic interactions. However, regarding the interior of protein, the average radius of amino acids is determined to be 3.3 Å with the standard deviation being 0.3 Å [\[26\]](#page-22-10). It is found that the average radius of amino acids  $(3.3 \text{ Å})$  is slightly larger than 3.25 Å, which is the Rc as two same solutes are embedded into ambient water [\[36\]](#page-22-26). Therefore, regarding the protein interior (local structure), it may be roughly engaged in the hydrophobic solvation process. Additionally, it is also engaged in initial solvation process. This is demonstrated by the buried water molecules, which are found in the interior of protein [\[139](#page-26-0)[–142\]](#page-26-1).

The dissolved behaviors of solutes in water may be related to the solute size. To understand the dependence of protein stability on temperature, it is necessary to investigate the changes of dissolved behaviors related to different solute size, being larger than Rc  $(SRC)$ , or less than Rc  $(SRC)$ . Temperature increase may lead to the decrease of Rc (Figure [15\)](#page-20-0). With increasing temperature, the size of residue may change from being less than Rc to larger than Rc, and the obvious structural changes may be expected. In other words, the "repulsive" forces between solutes may be changed into the "attractive" forces. Therefore, with increasing temperature, the water molecules in the interior of protein (buried water) may be released into bulk water (Figure [15\)](#page-20-0). Due to the expelled water, this may result in the accumulation in the interior of protein, and the global volume of protein is expected to become shrinking. Therefore, with increasing temperature, the inner structure of protein will be destroyed, which makes protein to lose the core activity.

Temperature decrease may lead to the increase of Rc (Figure [14\)](#page-18-0). Therefore, protein stability is also affected by temperature decrease, which is different from the effects related to temperature increase. Due to temperature decrease, this may lead to the transition from hydrophobic to initial processes, which also affects the structure of protein (Figure [15\)](#page-20-0). In other words, with decreasing temperature, water molecules may penetrate the core of protein (Figure [15\)](#page-20-0). Therefore, the "repulsive" forces may be expected in the interior of protein. In addition, the global structure of protein may be changed from folded to extending conformation.

Regarding temperature effects on hydrophobic interactions, these are related to the size of solute. In combination with our recent studies [\[34](#page-22-16)[,36](#page-22-26)[,128\]](#page-25-14), the obvious changes of dissolved behaviors of solutes are expected as the solute size passing though Rc. Therefore, the obvious structural difference may be expected between cold denaturation and heat unfolding. With decreasing temperature, this may lead to the transition from hydrophobic to initial process, water molecules are expected to penetrate the hydrophobic core of proteins [\[132\]](#page-25-22) in cold denaturation, which leads to the increase of the solvent accessibility (surface area). Regarding heat unfolding, although global structure of protein will be preserved, this may result in the packing of the hydrophobic core during the transition from initial to hydrophobic processes. Therefore, cold denatured proteins are more expanded, and heat unfolded states become more compact [\[133](#page-25-23)[,134\]](#page-25-16). Of course, these can be demonstrated by the experimental measurements [\[133,](#page-25-23)[134\]](#page-25-16). From the above, cold denaturation may be different from heat unfolding.

<span id="page-20-0"></span>

**Figure 15.** The mechanism of protein unfolding. Inlet shows the dependence of Rc on temperature **Figure 15.** The mechanism of protein unfolding. Inlet shows the dependence of Rc on temperature as two identical solutes are embedded into water. With increasing (or decreasing) temperature, obvious structural changes are expected as the solute size passing through Rc. This is reflected on the global the global and local (interior) structures of protein. Regarding the molecular mechanism of protein and local (interior) structures of protein. Regarding the molecular mechanism of protein unfolding,<br> cold denaturation may be different from heat unfolding.

Owing to hydrophobic interactions, the dissolved solutes may be aggregated in solutions in order to minimize their ratio of surface area to volume. Additionally, the dissolved solutes mainly affect the structure of interfacial water. Therefore, hydrophobic interactions may be related to the shape of solute. This may be applied to explain the molecular packing parameter. The parameter was proposed by Israelachvili, Mitchell, and Ninham [\[143\]](#page-26-2), which was defined as  $v_0/aI_0$ , where  $v_0$  and  $I_0$  were the volume and the length of the surfactant tail and a was the surface area of the hydrophobic core of the aggregate expressed per molecule in the aggregate. The concept of molecular packing parameter is widely used in physics, chemistry, and biology because it allows a simple and intuitive insight into the self-assembly phenomenon. It is well known that there exists the following connection between the molecular packing parameter and the aggregate shape:  $0 \leq {\rm v_0/aI_0} \leq 1/3$  for sphere,  $1/3 \le v_0/aI_0 \le 1/2$  for cylinder, and  $1/2 \le v_0/aI_0 \le 1$  for bilayer [\[144\]](#page-26-3). Therefore, after the molecular packing parameter is determined, the shape and size of the equilibrium aggregate may be readily identified as shown above. From this work, it can be found that this parameter may be closely related to the effects of solute shape on hydrophobic interactions. Further study may be covered in our next work.

Hydrophobic interactions are involved in a lot of chemical and biological phenomena in aqueous environments. This review focuses on our current understanding on hydrophobic interactions, such as the dependence of hydrophobic interactions on the solute size, the directional natures of hydrophobic interactions, and the temperature effects on hydrophobic interactions. In fact, hydrophobic interactions may also be extended to investigate the molecular mechanism of protein folding, ion selectivity of nanopore (ion channel), Hofmeister effects, superhydrophobic surface, etc.

To maximize the hydrogen bondings of water, the dissolved solutes are attracted to approach each other until they may be affected by the direct solute-solute interactions. Therefore, hydrophobic interactions are reasonably regarded to be the fundamental driving force in the chemical and biological processes. Based on our recent study [\[34\]](#page-22-16) on hydrophobic interactions, they are reasonably ascribed to the structural competition between interfacial and bulk water. Therefore, water plays a vital role in the process of hydrophobic

effects. From our current understanding, water is reasonably regarded as "the director of a wonderful performance, not the audience".

#### **5. Conclusions**

Hydrophobic interactions may be regarded as the fundamental driving force in numerous biophysical and biochemical processes in aqueous solutions. In this study, we focus on our current understanding on hydrophobic effects. Based on our recent studies, the following conclusions are derived:

(1) According to the structural studies on water and air-water interface, hydration free energy is determined, and applied to unravel the nature of hydrophobic effects. It is found that hydrophobic interactions may be attributed to the structural competition between interfacial and bulk water.

(2) Hydration free energy is related to the size of dissolved solute (or concentrations). With increasing the solute size, it may be divided into initial and hydrophobic solvation processes. In addition, various dissolved behaviors of solutes, such as dispersed and accumulated distributions in solutions, may be expected in initial and hydrophobic solvation processes. This is utilized to understand the origin of intermediate phase, which is found before solute nucleation occurs in solutions.

(3) While the dissolved solutes are accumulated in hydrophobic solvation process, it is divided into H1w and H2s hydrophobic processes. Additionally, different directional natures are expected in H1w and H2s processes. This is applied to understand the mechanism of molecular recognition, especially the specificity.

(4) Temperature changes may affect hydrophobic interactions, which may be related to solute size. With increasing temperature, this leads to the decrease of Rc. This is utilized to understand the nature of protein unfolding at high temperature (heat unfolding) and low temperature (cold denaturation). It can be found that cold denaturation may be different from heat unfolding.

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